



MASTERARBEIT / MASTER'S THESIS

Titel der Masterarbeit / Title of the Master's Thesis

The Keybox: Shape-frame fitting during tool use in
Goffin's cockatoos (*Cacatua goffiniana*)

verfasst von / submitted by

Cornelia Habl, BSc.

angestrebter akademischer Grad / in partial fulfilment of the requirements for the degree of
Master of Science (MSc)

Wien, 2017 / Vienna, 2017

Studienkennzahl lt. Studienblatt /
degree programme code as it appears on
the student record sheet:

A 066 878

Studienrichtung lt. Studienblatt /
degree programme as it appears on
the student record sheet:

Masterstudium Verhaltens-, Neuro- und
Kognitionsbiologie UG2002

Betreut von / Supervisor:

Univ.-Prof. Mag. Dr. Thomas Bugnyar

Index

1. Abstract	1
2. Zusammenfassung	2
3. Introduction	3
4. Material and Methods	
4.1. Subjects	7
4.2. Experimental History	8
4.3. Ethical Statement	8
4.4. Unrewarded Shape Matching	9
4.5. The Keybox	
4.5.1. Apparatus and Material	10
4.5.2. Procedure	11
4.6. Analysis	13
4.6.1. Unrewarded Shape Matching	14
4.6.2. The keybox: Tool selection	14
4.6.3. The keybox: Insertion effort	14
5. Results	
5.1. Unrewarded Shape Matching	15
5.2. The keybox: Tool selection	16
5.3. The keybox: Switch	18
5.4. The keybox: Insertion effort	19
6. Discussion	
6.1. Unrewarded Shape Matching	21
6.2. The keybox: Tool selection	22
6.3. The keybox: Switch	23
6.4. The keybox: Insertion effort	24
7. Conclusion	26
8. Acknowledgements	27
9. References	28
10. Appendix	32

1. Abstract

The ability to move an object in alignment to a surface develops early in human ontogeny. However, aligning not just your own body but also the object itself in relation to a surface with a specific shape requires using landmarks rather than the actor's own body as a frame of reference for orientation. The ability to do so is considered important in the development of tool use behaviour in human and non-human animals. Aside from humans, with the exception of a single study on habitually tool using primates, shape-frame matching abilities remain largely unstudied. The Goffin's cockatoo is a generalist parrot, and not a specialised tool user but has shown the capacity to innovate and use different types of tools under controlled settings. I tested these parrots in a tool selection and tool use task featuring objects and their corresponding substrate grooves in a number of shapes with different levels of symmetry. Subjects had to choose the correct 'key' to insert into a box, and align its shape to fit into the corresponding 'keyhole' in the box. The parrots were able to select the correct key above chance level from early on in the experiment. Despite their lack of hands, they required fewer placement attempts than primates to insert simple object shapes into corresponding grooves. For complex shapes, they reduced their insertion effort by rotating shapes in their beak while avoiding as many affordances as possible. Unrewarded play experience with similar object shapes was provided to some of the subjects previously to testing, but did not seem to have an effect on the number of correct choices or on insertion effort.

2. Zusammenfassung

Die Fähigkeit ein Objekt an einer Oberfläche zu orientieren entwickelt sich früh in der menschlichen Ontogenie. Nicht nur den eigenen Körper, sondern auch ein Objekt in Relation zu einer spezifisch geformten Oberfläche zu bewegen erfordert jedoch einen Bezugsrahmen zur Orientierung an Punkten in der Umwelt und nicht am eigenen Körper. Diese Art von Bezugsrahmen nennt man allozentrisch, und die Fähigkeit diesen auch anzuwenden gilt als Voraussetzung für die Entwicklung des Werkzeuggebrauchs bei Menschen und Tieren. Abgesehen von Studien mit Kleinkindern und einer Studie mit werkzeuggebrauchenden Primaten wurde dieses Verhalten bei anderen Tieren jedoch bisher nicht erforscht.

Goffin Kakadus verwenden keine Werkzeuge in freier Wildbahn, sind aber in der Lage unter kontrollierten Laborbedingungen verschiedene Arten von Werkzeugen herzustellen und zu verwenden. Wir haben diese Papageien in einem Werkzeugauswahl- und Werkzeuggebrauch-Versuch mit Objekten unterschiedlicher Symmetrie und den dazugehörigen Rahmen getestet. Die Testsubjekte mussten den korrekten „Schlüssel“ auswählen und in das passende „Schlüsselloch“ in einer Box deckungsgleich einpassen. Die Papageien konnten den korrekten „Schlüssel“ überzufällig schon früh im Experiment auswählen. Obwohl sie keine Hände haben konnten die Vögel einfache Formen mit weniger Platzierungsversuchen in den passenden Rahmen stecken als Primaten in einer ähnlichen Studie. Bei komplexen Formen vermieden die Vögel das deckungsgleiche Einpassen indem sie die Objekte im Schnabel drehten bis sie mit weniger Mühe durchgesteckt werden konnten. Außerdem durften einige Vögel vor dem eigentlichen Experiment Objektspiel Erfahrung ohne zusätzliche Belohnung sammeln, jedoch hatte es keinen messbaren Einfluss auf die Anzahl der korrekten Objekte oder die Einpass Frequenz und Dauer.

3. Introduction

Fitting an object into a matching outline in a substrate such as inserting a key into a lock or the appropriate screwdriver bit into a screw is a recurrent part of many human technical procedures and, in its simplest forms, develops within the first years of human life. For example, developmental studies on human infants indicate that children have difficulties inserting an elongated object into a slot of similar length before the age of 22 months [1]. Older children start rotating the object into the proper position before bringing it into contact with the slot, indicating that vision plays an important role in their shape-frame matching abilities [1]. In a posting task featuring a round disc and a slot, 18-month-old children were able to orient their own hands but not the disc they were holding in the appropriate way for inserting it [2]. However, they succeeded when the disc was pre-aligned prior to insertion, suggesting that children below the age of 2 have more trouble orienting an object in relation to a substrate than orienting a part of their own body. This is largely because we use two different spatial frames of reference when moving parts of their own body (e.g. a hand) or objects in space: when using an egocentric frame of reference, we move objects relative to our own body, and when using an allocentric one we use environmental objects as landmarks for orientation [3, 4]. Moving an object relative to other objects/substrates, as required when inserting an object into a matching frame is considered to be cognitively more difficult than moving it in relation to one's own body. Humans start to develop an allocentric frame of reference in the first year of their life, but it improves over a period of several years [5]. Throughout human ontogeny, the perfection of an allocentric frame of reference is also closely linked to the development of tool use [6]. It is likely that being able to use an allocentric frame of reference is an important prerequisite for the development of flexible tool use in animals as well [7].

Another important aspect of shape-frame matching is geometry, as it imposes the number of relations that must be managed simultaneously by the actor: a circular object has only one point of reference, whereas a stick-like object with an axis has at least four points that need to be taken into account: two defining the axis of the object and two defining the axis of the groove [7]. Furthermore, a lower degree of symmetry adds to the complexity of the task: a circle has infinite sides of symmetry all going through its centre, a square-shaped object has four sides of symmetry, whereas an equal sided triangle has only three. Hence, in the case of the circular object, as long

it is placed in the center of the opening, its orientation does not matter. The square however has 4 possible correct orientations for each front side (turning the object 90° to achieve insertion, and 8 correct orientations turning the object 180° etc.), whereas the front side of a triangle has only 3 (it needs to be turned up to 120° , 6 correct orientations when turned 180°). Correspondingly, according to the Bayley Scales of Infant Development [8], a widely used tool to assess children's mental, motor and language skills, human infants can insert a ball in a circular opening at 1 year of age, but can only insert a cube into a square opening when they are almost 2 years old. Even more complex are asymmetrical objects, because they can only be inserted in one way and the number of axes that need to be managed relative to the groove is determined by the number of object features added [7, 9]. Fragaszy et al. [9] tested a setup on human infants, in which up to 2 features were added to a single rectangular groove and a matching object: They found that 2, 3 and 4-year-old children consistently aligned a bar-shaped stick and a cross-shaped stick (with one long and one short axis at the top), but had difficulty aligning a tomahawk-shaped stick to a corresponding substrate groove. The two older age classes routinely held the objects above the cutout, comparing it visually before attempting to align it, again suggesting that they did use vision to facilitate their shape-frame matching. When habitually tool using primates, namely capuchin monkeys and chimpanzees, were tested using the same setup [7], both species aligned the long axis of the bar-shaped object with the matching groove more often than expected by chance but with poor precision. Some individuals within both species managed to align the second axis in the cross condition, with subjects making 4 times as many placement-attempts to succeed in the latter alignment than in the original bar condition. Only one capuchin monkey achieved above-chance success at matching 3 features in the tomahawk condition with the corresponding cutouts. Although they do seem to be able to align simple object shapes such as the bar in an efficient manner, habitually tool using primates seemed to perform relatively poorly at this task, apparently lacking the visual object guidance of even a 2-year-old human despite having been shown to possess considerable hand-eye coordination [10]. As the above experiment is to our knowledge the only study investigating shape-frame matching in any non-human, we do not yet know whether it evolved as a by-product of digital dexterity in primates or whether it can also develop convergently in other species.

Although most parrots, except for the black Palm cockatoo (*Probosciger aterrimus*) [11,12] are not known to be using tools habitually on a population wide level in the wild, many parrot species playfully combine inedible objects with each other or a substrate in the environment [13-15]. This kind of behaviour can be classified as object play, which Fagen defined as 'divertive interactions with inanimate and inedible objects, including exploratory manipulations' [16]. These exploratory manipulations are of interest to cognitive researchers, as they may serve as ontogenetic and phylogenetic precursors of functional behaviour, e.g. technical problem-solving skills, tool usage [17] and may inform the animal of object properties and help them to hone their skills for goal-directed problem-solving behaviour in their later life.

Object combinations outside a foraging context [16] such as inserting an object into a substrate cavity, are considered to be a particularly informative on physical cognition. Diamond et al. [18] also suggest that combinatorial behaviours serve the detection of functional object properties and can thus be linked to spatial reasoning abilities as well as tool use abilities. Complex object combinations either entail moving an object in relation to environmental features (Object- Object) or orienting an object in relation to the substrate (Object- Substrate), both of which are likely to require the use of an allocentric frame of reference to succeed non-accidentally. The degree of difficulty of complex object combinations was emphasised by studies by Frigaszy et al. [19] and Torigoe [20] that found that the only primates observed using complex object combinations are tool using species, namely capuchin monkeys (*Cebus sp.*) and great apes.

In birds, both corvids and parrots have shown equal social, locomotor and object play to mammals, e.g. [13-15,17,21] In a study on New Caledonian Crows (*Corvus moneduloides*) by Kenward et al. [22] the authors even hypothesised that combinatorial object play might improve the tool- oriented behaviour during ontogeny and may have promoted the evolution of tool use in this species. Conspicuously, the pet industry offers various enrichment toys specifically for parrots that resemble simple fitting tasks for human children.

It is not surprising that several studies also found complex combinatorial play in birds [13,14], one of them was an experiment on object play [14] featuring various differently shaped objects. Researchers were able to repeatedly observe Goffin's cockatoos (*Cacatua goffiniana*) carrying and inserting objects into substrate features

inside the aviary that composed nearly an exact frame around the object (Figure A1). They did so lacking any previous re-enforcement or training. Furthermore, other studies on Goffin's cockatoos indicate the capacity for complex forms of tool use and manufacture through innovation in captivity [23] and, arguably, in a few feral individuals [24]. The tool use of captive individuals is flexibly adjusted depending on the problem at hand [25-27]. Thus, these abilities allowed me to investigate shape-frame matching in both unrewarded as well as tool-using contexts for the first time in a non-primate model. Using a series of experiments, I aimed to look into several aspects of the shape-frame matching abilities of the Goffin's cockatoo.

In a first step, I was interested in the behaviour within the context of unrewarded object play. I determined the rate of reoccurrence of playful shape-frame matching and whether this particular type of object play was restricted to specific object shapes and/or to certain individuals. Due to previous observations [14], I predicted that cockatoos would playfully match shapes to frames irregularly and that they would match highly symmetrical shapes such as circles more often than less symmetrical shapes in this context.

Furthermore, I aimed to test shape-frame matching in a tool using context using a box featuring several different types of 'keyholes' that allowed for the insertion of only one out of a selection of 3 or 5 object shapes ('keys'). The keyholes had different levels of symmetry and differently shaped features.

Within this tool use task, I first wanted to look at tool selection, testing the question whether the birds were able to select the correct tool for the keyhole at hand and whether their performance was spontaneous or influenced by a learning process. Whereas the cockatoos have been shown to react flexibly and sensibly in a tool selection task featuring two very different tasks [27], learning a simple virtual matching to sample task on the touch screen was a long and tedious process which did not lead to success in many cases [28]. If the birds were able to select the objects that corresponded to the correct frames, it would indicate that adding physical context to a matching to sample task increases performance, as has been shown in a few other species, e.g. Kea (*Nestor notabilis*) [29], Pigeons (*Columba livia*) [30] and humans [31]. In the second aspect of the keybox task, I was interested in the effect of object/frame geometry on insertion success/effort. Specifically, I examined if the animals succeed only with specific shapes, and within the successful shapes, which geometric forms caused most difficulty. Based on previous results on human infants

and primates [7, 9] I expect the animals to follow insertion patterns as predicted for the unrewarded object play experience.

Finally, I assessed the effect of shape-frame matching experience during object play on their performance in the keybox task. One of the benefits of object play is in many cases speculated to be practicing the neuro-muscular system for enhanced performance when confronted with future foraging problems [32, 33], however this has rarely been tested systematically. Demery & Chappell [34] tested Senegal parrots' (*Poicephalus senegalus*) and kakarikis' (*Cyanoramphus novaezelandiae*) sensitivity to a change of visible (colour, shape) and invisible (weight) cues during object exploration. Both species explored functional and invisible changes more than non-functional or visible ones, and interestingly, asymmetrical objects more than symmetrical ones. In a different study, Lambert et al. [35] offered Kea and New Caledonian Crows objects to manipulate and explore, and tested whether their explorative behaviour would increase if a functional context was added. However, no one of the birds changed the duration or manner of exploration after learning about the functionality of the objects. Interestingly though, several individuals from both species were able to pick the correct object for the functional tool use task above chance level, but only after previous exploration. This suggests that the birds may have gained some kind of information during the exploration phase. For this study, I therefore predicted an effect of previous object play experience on both the performance in selecting the appropriate tool as well as on insertion efficiency.

4. Materials and Methods

1. Subjects

Thirteen adult, captive- born and hand-reared Goffin's cockatoos (*Cacatua goffiniana*), participated in this study. The subjects (8 males, 5 females) were kept in a single-species group at the Goffin Lab of the University of Veterinary Medicine (Vienna, Austria) and were housed in a large, enriched aviary with an indoors and outdoors area (in total ca. 200 m² ground, space up to 6m high). All parrots were kept on an ad libitum diet (fresh and dried fruits, boiled vegetables, a mixture of boiled and raw seeds and fresh water). For further information about the subjects see Table 1.

Table 1. Subjects participating in the study. Subjects listed with group affiliation, sex and year of hatching.

	SUBJECT	SEX	HATCHED
	Dolittle	Male	2011
	Fini	Female	2007
	Heidi	Female	2010
TESTGROUP	Konrad	Male	2010
	Moneypenny	Female	2010
	Muki	Male	2011
	Pipin	Male	2008
	Figaro	Male	2007
	Kiwi	Male	2010
	Mayday	Female	2011
CONTROL	Muppet	Male	2010
GROUP	Olympia	Female	2010
	Zozo	Male	2010

2. Experimental History

All subjects had previously been involved in various physical problem-solving tasks [35, 36], including studies involving the use of tools [23-26, 37]. Additionally, five years before the data collection started, in the summer of 2011, all (except for birds hatched in 2011) participated in a monitoring study on object play in which some shape matching actions were accidentally observed in an unrewarded context [13] (Figure A1). However, they had not experienced the objects and their respective negatives (shaped holes corresponding to the objects) used in this experiment.

3. Ethical note

All animals are permanently kept (before and after the experiment) in a well-established group at the “Goffin Lab”. All have CITES certificates and were registered at the district’s administrative animal welfare bureau (Bezirkshauptmannschaft St. Pölten Schmiedgasse 4–6, A-3100, St. Pölten, Austria). The housing conditions comply with the Austrian Federal Act on the Protection of Animals (Animal Protection Act—§24 Abs. 1 Z 1 and 2; §25 Abs. 3—TSchG, BGBl. I Nr. 118/2004 Art. 2). As my experiments are strictly non-invasive and based purely on behavioural observations, they are not classified as animal experiments in accordance with Austrian Law

(Austria: §2. Federal Law Gazette No. 501/1989) and do not require permission. The birds are not wing-clipped and only enter the experimental room on a voluntary basis. The animals are never food deprived and are closely monitored on a daily basis; no elevated levels of stress or aggression could be detected throughout our testing period.

4. Unrewarded shape matching

In the Unrewarded Shape Matching (USM) section of this study we tested the propensity of our subjects to playfully establish unrewarded shape-matching relationships between objects. This monitoring block additionally served as pre-experience for 7 of the 13 birds in order to assess a possible effect of enhanced play experience on later task performance, which was conducted immediately after the monitoring block had ended.

During testing, the 7 subjects were visually isolated from the remaining group and exposed to different playsets (Fig 1).

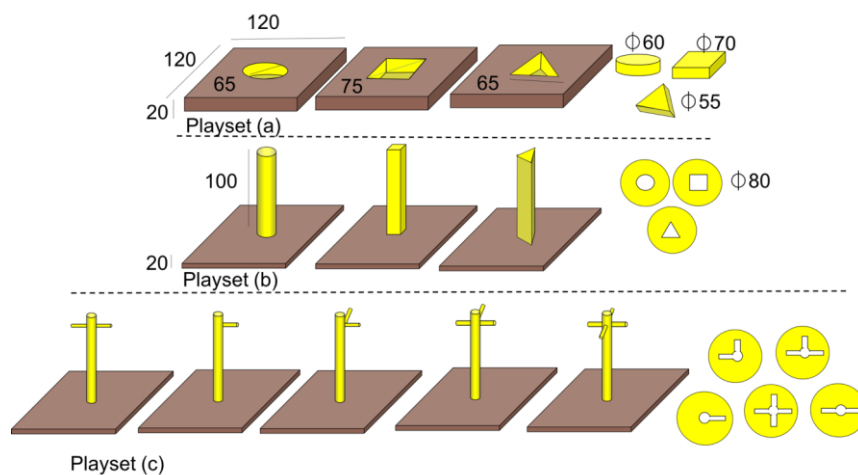


Fig 1. The 3 playsets (a-c) with dimensions. Two of each object shape were offered alongside the poles or indents for each set. Dimensions in mm.

Each playset consisted of yellow wooden substrate boards with either indentions and their correspondingly shaped free objects, or poles and discs with the corresponding negatives (see Fig 1 for dimensions). The substrate boards were placed next to each other in random order and the objects were scattered around (or pre-stacked/inserted upon/into; see phase 2) them. The wooden playsets were offered in three consecutive phases: in phase 1, the shaped objects were all scattered around the substrates (shaped poles or holes); in phase 2 one set of objects was pre-stacked/pre-inserted onto/into the poles/holes to enhance unstacking behaviour; during phase 3, the subjects received one stacking/unstacking

demonstration by a human demonstrator for each substrate (pole/hole) in random order before receiving the setup as in phase 1. Subjects were free to interact with each playset (in the same order A, B, C) in an unrewarded context (each phase consisting of 5 sessions, which lasted for 30 minutes in phases 1 and 2, and 10 minutes in phase 3 respectively)

Within all phases, we scored the frequencies of object-substrate (poles/holes) combinations. Within the latter, three distinctions were made: 'incorrect' (combined shapes do not match); 'correct' (combined shapes match but are not fully stacked/inserted) and successful 'shape-match' (correct object is successfully stacked/inserted).

5. The keybox

4.5.1. Apparatus and Material

The keybox apparatus (see Fig 2 for dimensions) was made from hard beech wood. It had three wooden sides, a Plexiglas® roof and was screwed onto a wooden plate. We used 8 exchangeable Plexiglas® walls in total, because similar shapes as in the USM part were divided into keyset A (3 keys) and keyset B (5 keys; see description in Fig 2). Correspondingly, 3 walls featured 'keyholes' corresponding to the shapes of keyset A, and 5 with the shapes of keyset B; the contour of the keyholes were highlighted with black permanent marker. These walls could be slid into guide rails in place of the fourth side and were secured by wood chips between the wall and the roof. Inside the box, a collapsible platform was affixed halfway up the back wall and was held horizontally by a magnet, whose strength was set in a way that any inserted key would cause the platform to collapse. The keys were molded using yellow Fimo® clay (with each the same amount of small lead balls inside to increase the weight, approximately 10 grams) and later baked at 130°C to harden. In order for a specific key to only fit through its respective wall, the keys within keyset B were thickened at their bases (the centre of the shape) and the bases had different diameters (Fig 2).

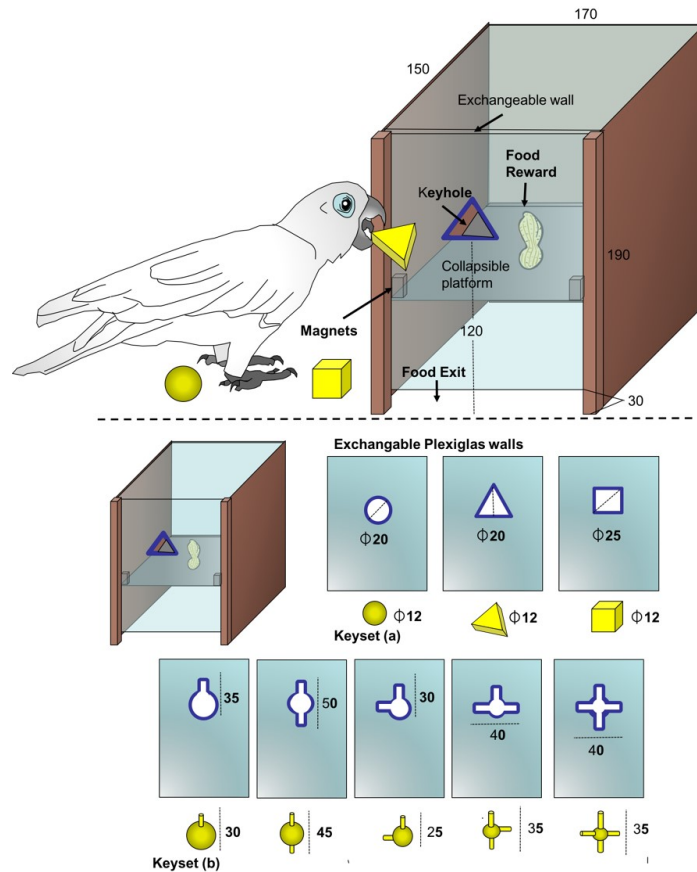


Fig 2. Top: Basic Apparatus with dimensions; Bottom: Exchangeable Plexiglas walls with different keyholes and corresponding keys. Each key corresponds only to one keyhole within each keyset (for this reason in keyset B, the diameter of the central key part therefore decreases alongside the complexity of its shape). Dimensions in mm.

4.5.2. Procedure

The keybox test series tested for correct tool selection and shape-matching abilities as a means to retrieve food from a box. It consisted of a short training phase followed by a testing phase. For all tests, the individual subjects were visually isolated from their conspecifics when placed into an experimental compartment. During the training phase, birds were given the opportunity to insert a small, randomly shaped natural stone into a Plexiglas® wall of the keybox (round tool opening) to retrieve a food reward. Birds were tested until they retrieved the food on each trial for two consecutive sessions of 10 trials each, with trials lasting a maximum of 10 minutes before testing was discontinued and a new session was started on the following day (note that subjects had pre-experience inserting compact objects into tubes from Laumer et al. [19] and thus had little problems in the transfer).

During the first keybox task, all subjects were presented with keyset A (6 sessions à 12 trials) and keyset B (12 sessions à 10 trials) together with the

apparatus. Within each session (12 trials) of keyset A (3 keys), each of the 3 exchangeable walls would be placed into the keybox 4 times (in random order), and within each session (10 trials) of keyset B (5 keys) each wall would be placed into the keybox twice. Hence, each key was the correct choice a total of 24 times throughout the experiment. For both keysets, half of the sessions were first presented as a 'Spontaneous Choice Condition': As soon as the bird combined a key with the Plexiglas® front of the keybox, the remaining keys were immediately removed. If subjects chose the correct tool, they had 5 minutes to retrieve the food before the trial was discontinued and the next trial started. If they chose an incorrect key, they had to wait 3 minutes before the next trial started. If a bird failed to choose a key within 10 minutes, the trial was terminated. Thereafter, the keys were presented in a 'Learning Condition': All keys remained on the table for a period of 10 minutes or until the food was retrieved, allowing the subject to try out different key combinations within the same trial (see Fig 3 for pictures of the testing procedure).

In very few instances, birds either managed to forcefully squeeze a key through a non-matching hole or moved the keybox itself so that the platform collapsed. These cases were not counted as successful, the reward was removed immediately and the trial was terminated.

The keybox was baited out of sight with a piece of cashew nut and thereafter placed onto an experimental table (70x70cm). The subject was placed on the backrest of a chair in front the apparatus. After a five second delay, during which the bird had the chance to look at the respective Plexiglas® wall in the keybox, all keys of the corresponding keyset were placed on the table in a straight line ca. 30 cm parallel to the front of the keybox in random order from left to right. After a second delay of 10 seconds, during which the bird could look at both the keys and the wall, the bird was allowed to pick a key. The experimenter stood behind the camera, wore mirrored sunglasses and avoided lateral head movement throughout the entire testing phase.

The data for the USM was collected between March 2015 and October 2015 and for the keybox test series between November 2015 and June 2016.

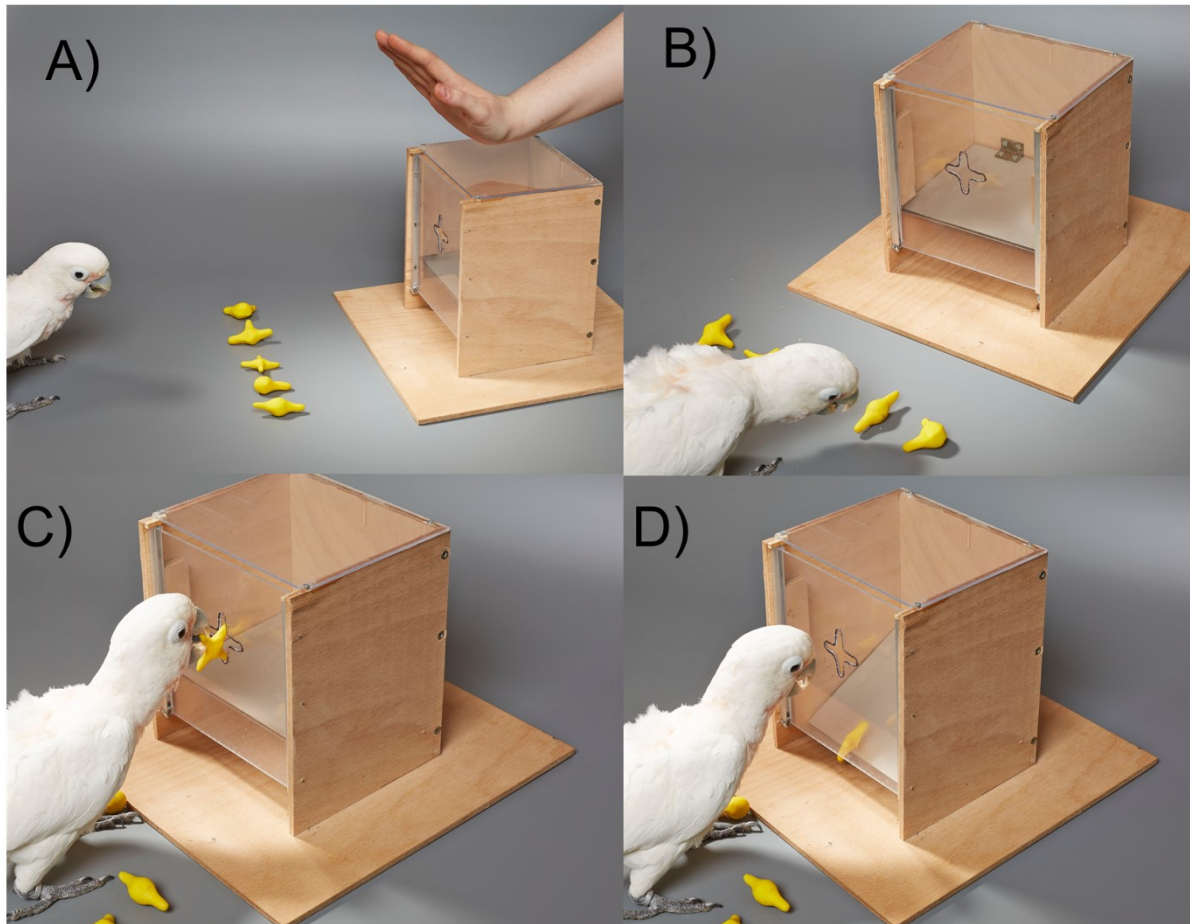


Fig 3. Keybox: A) Inspection phase; B) Choice phase; C) Insertion; D) Platform collapses, food is released. Photos: Bene Croy

6. Analysis

All trials were videotaped (JVC Camcorder Model No.: GZ-HM30BE) and coded *in situ* as well as from the videos. I counted all object combinations with the substrate grooves in the USM, within all object combinations I highlight combinations of objects with the correct frame and actual shape matches. In the keybox test I scored the first object that was combined with the front of the keybox as correct/non-correct; whether the subject was successful in retrieving the food from out the platform in this trial, if any objects and how many were lifted before one was combined with the frame ('switch') for each keyset (A & B). Furthermore, in trials in which the correct object was used, I scored the duration from the first contact between the object and the box to the insertion ('duration') and the number of times it was brought in contact with the opening ('combination frequency'). The latter two scorings were only conducted for condition 1 in order to look at spontaneous performance.

4.6.1. Unrewarded shape matching

I conducted General Linear Mixed Models (GLMMs) in SPSS (Version 24) for each playset (A, B & C) in USM on 'mean number of correct combinations' and 'mean number of shape matches' as target variables. Random factor was 'individual' and fixed factors were 'object' (3 or 5 different objects in each playset), 'phase' (objects next to substrates; objects prestacked/preinserted; objects next to substrates after human demo) and 'session'.

4.6.2. Keybox: Tool selection

I conducted a GLMM on 'mean number of correct keys' selected for each keyset (A & B). Random factor was 'individual', fixed factors were 'group' (play experience or not) 'key', 'condition' (condition1 and 2), 'session' and an interaction 'group*key'.

Furthermore, as subjects frequently picked up an object and discarded it before combining another object with the box, I looked at the number of times this occurred in each trial. Again, I conducted GLMMs on this 'switch' of a specific key for each keyset (A & B). Again, random factor was 'individual', fixed factors were 'group' (play experience or not) 'key', 'condition' (condition1 and 2), 'session' and an interaction 'group*key'.

4.6.3. Keybox: Insertion effort

As it was not possible to reliably score the exact alignment of the object features with the keyhole (the birds were often blocking the full sight towards the keyhole with their body), I decided to use duration and frequency of combinations in order to obtain a measure on which shapes caused most difficulties during insertions. For trials in which the correct object was selected, I conducted GLMMs on mean duration (in seconds; first contact of object with keybox to insertion) and on mean frequency (number of times the object was brought into contact with the opening before successful insertion). Random factor was 'individual', fixed factors were 'group' (play experience or not) 'key', 'condition' (condition1 and 2), 'session' and an interaction 'group*key'

I used Wilcoxon signed rank and Mann-Whitney U tests for posthoc analysis, and Binominal tests to assess individual data. I used the Bonferroni-Holms method to control for multiple comparisons.

I controlled for inter-observer reliability by having 10% of the data double-scored by a naïve coder. The inter-rater correlation coefficient (ICC) suggests perfect

agreement between the two raters for (all ICCs >0.9, see Appendix Table A1) in all relevant measures.

5. Results

5.1. Unrewarded Shape Matching

For playsets A-C subjects did not combine the object with their corresponding substrate grooves above chance expectation (One-Sample Wilcoxon tests $N=7$, $p<0.05$). Nevertheless, for playsets A and C, the factors 'phase' and 'object' and, for playset B, the factor 'phase' but not any other factors measured had a significant effect on both the number of correct combinations of objects with their corresponding grooves and shape matching ($p<0.05$; see Appendix Table A2 for detailed GLMM results). The factor 'session' did not have a significant effect on performance for any of the target variables in any playset (Appendix Table A2). Posthoc tests indicate that birds improved across phases with more correct combinations of objects with corresponding grooves in phases 3 and 2 for playsets A and B (Wilcoxon signed Rank tests $N=7$ PSA: $Z=-2.207$, $p=0.031$; PSB: $Z=-2.366$, $p=0.016$; non-sig. trend for PSC: $Z=-1.913$, $p=0.063$; there was no difference between phases 1 and 2 or 2 and 3 for any playset; see Fig 4). The number of shapes matched did not improve above trend levels across conditions (Wilcoxon signed rank tests $N=7$ $p<0.05$) except for playset A, for which we similarly found a difference between phases 1 and 3 (Wilcoxon signed Rank test $N=7$, $Z=-2.201$, $p=0.031$). The corresponding posthoc tests did not reveal differences either in the number of birds' correct object-groove combinations nor shape matches between different types objects for any playset (Wilcoxon signed Rank tests $N=7$, $p<0.05$). See Fig 5 for mean number of combinations of objects with substrate grooves.

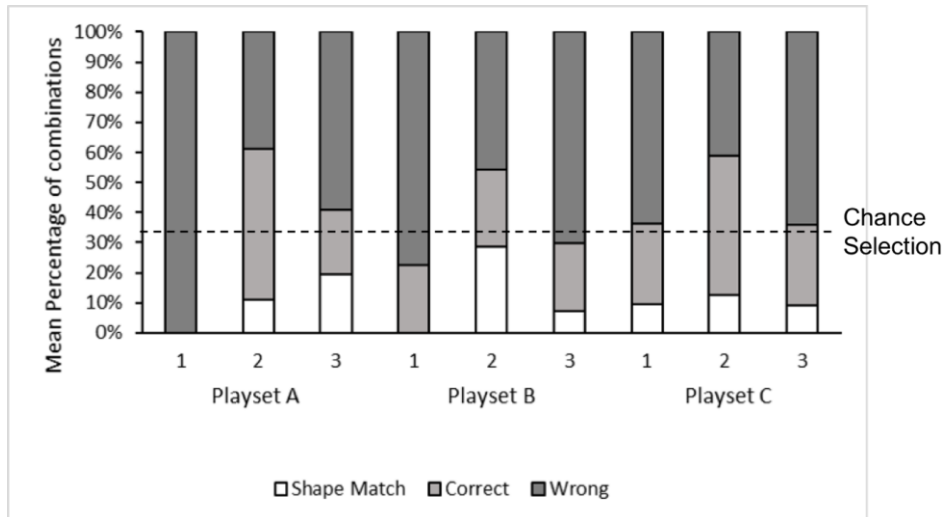


Fig 4. Play: Mean percentage of combinations of objects with substrate grooves. Combinations of an object with the corresponding hole (correct), within corrects, combinations in which the object was fully fitted (shape match) and combinations of objects with different shapes (wrong). Data is shown for each phase (1-3) within each playset (A-C).

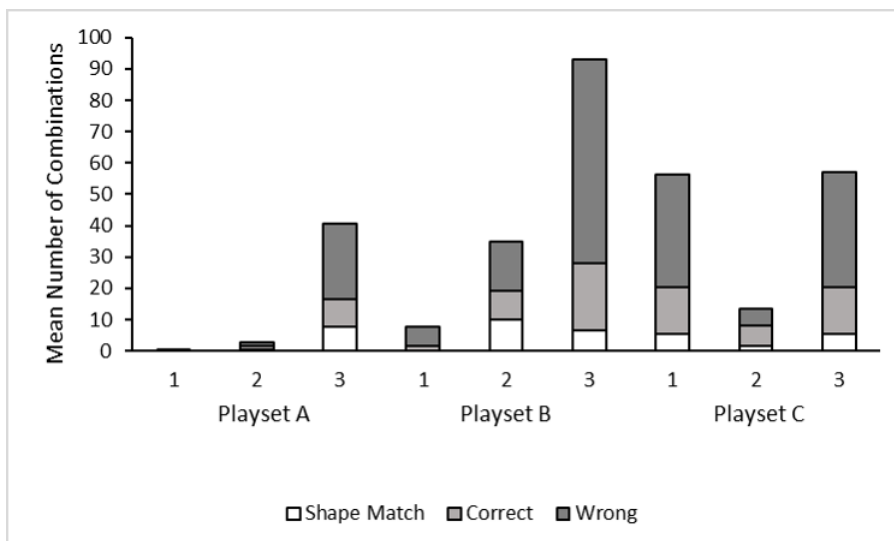


Fig 5. Play: Mean number of combinations of objects with substrate grooves. Combinations that were fitting the object in question (correct), within corrects, combination in which the object was fully fitted (shape match) and combinations of objects with different shapes (wrong). Data is shown for each phase (1-3) within each playset (A-C).

5.2. The Keybox: Tool selection

When birds selected the correct object, they were almost always able to obtain the food reward by inserting the ‘key’ into the ‘keyhole’ within the 5 minutes given (except for 19 trials of 936 in keyset A and 21 trials of 1560 in keyset B).

Subjects already chose the correct key above chance in condition 1 of keyset A (one Sample Wilcoxon Test $N=13$, $Z=-3.177$, $p<0.0001$) but not in condition 2 (one Sample Wilcoxon Test $N=13$, $Z=-1.834$, $p=0.068M$; see Figs 6 and 7). They also

chose the correct key above chance in the first 3 sessions of condition 1 within keyset B (one Sample Wilcoxon Test N=13, $Z=-3.194$, $p<0.0001$) and maintained their performance within the same condition (one Sample Wilcoxon Test N=13, $Z=-3186$, $p<0.0001$) and in condition 2 (one Sample Wilcoxon Tests N=13, Session 1-3 $Z=-3186$, $p<0.0001$; Session 4-6 $Z=3.241$, $p<0.0001$; see Figs 6 and 7). See Appendix Table A3 for detailed individual data on selection. The GLMMs showed significant effects for the factor ‘condition’ in keyset A ($F=24.05$, $df1=1$; $df2=225$; $p<0.0001$) and B ($F=285.873$, $df1=1$; $df2=764$, $p<0.0001$) and for the factor ‘session’ in keyset B (22.505 , $df1=5$, $df2=764$, $p<0.0001$) but not for any of the other factors measured (see Appendix Table A4 for detailed GLMM results). As there were only 2 conditions per keyset, no posthoc tests were required. Surprisingly, the birds selected the correct key more often in condition 1 than in condition 2 for keyset A; the opposite was found for keyset B (Fig 4). When dividing the 6 sessions within keyset B into 2 blocks of 3 sessions for each condition, posthoc tests revealed a steady increase in performance across session blocks (note that, as mentioned early in the results section, performance was already above chance expectation within the first session blocks). There was an above chance difference between session block 1 and 2 in condition 1 (Wilcoxon signed rank Test N=13, $Z=-3.116$, $p<0.0001$) between session block 2 in condition 1 and session block 1 in condition 2 (Wilcoxon signed rank Test N=13, $Z=-2.914$, $p=0.002$) and session block 1 and 2 in condition 2 (Wilcoxon signed rank Test N=13, $Z=2.949$, $p<0.0001$; see Fig 7). See Fig 8 for the mean number of correct choices per trial for keyset A and B and for each key.

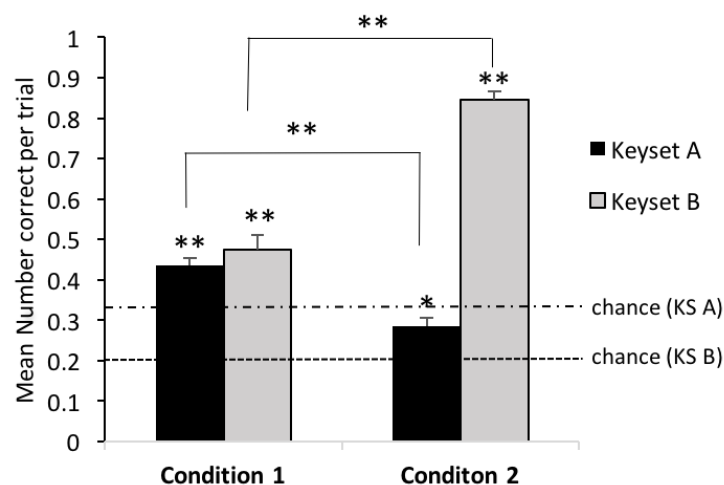


Fig 6. Selection: Mean number of correct choices per trial. Keyset A (black columns) and B (grey columns). Chance expectation for keyset A= 0.33; for keyset B=0.2 correct per trial. * chosen above chance expectation; ** $p<0.0001$.

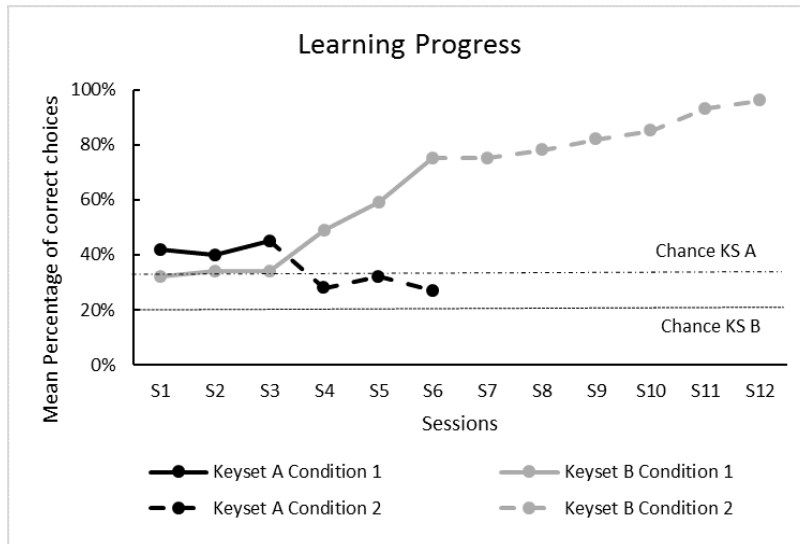


Fig 7. Selection: Percentage of correct choices per trial over sessions. Black line=keyset A; grey line=keyset B; intact grey/black line=condition 1; dashed grey/black line= keyset B; Chance expectation for keyset A= 33 % correct; for keyset B=20% correct.

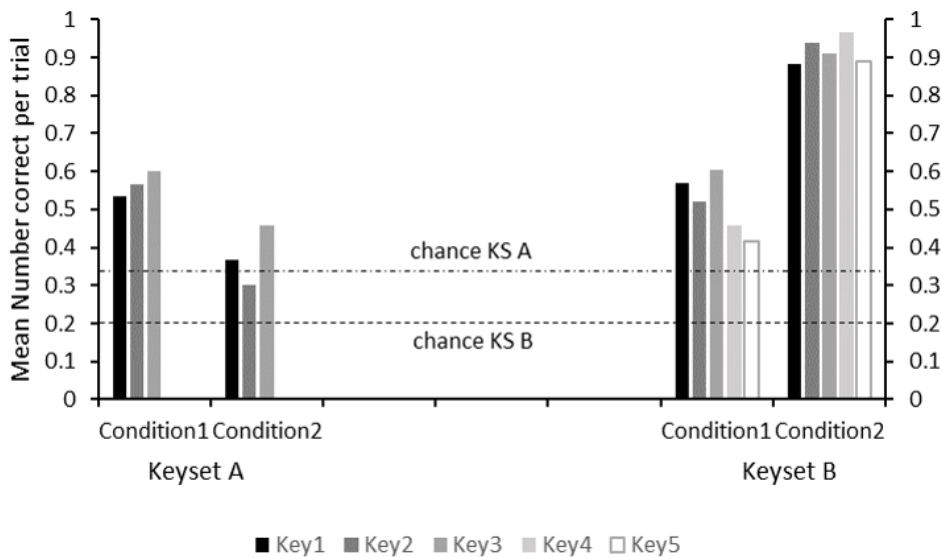


Fig 8. Selection: Mean number of correct choices per trial for keysets A and B showing each key. keyset A (1=Square, 2=Circle, 3=Triangle), keyset B (1=One-Arm, 2=Two-Arm, 3=L-Shape, 4=Tripod, 5=Cross). Chance expectation for keyset A= 0.33; for keyset B=0.2 correct per trial. * chosen above chance expectation; ** p<0.0001.

5.3. The keybox: Switch

The GLMMs on the ‘switch’ trials showed a significant effect of the factor ‘condition’ for keyset A ($F=39.611$, $df_1=1$, $df_2=225$, $p<0.0001$) and of ‘key’ for keyset B ($F=2.526$, $df_1=4$, $df_2=764$, $p=0.04$) but not for any of the other factors measured (See Appendix Table A5 for detailed GLMM results). As there were only 2 ‘conditions’, posthoc tests were not required; they showed a subject mean of $0.27 \pm 0.13SE$ in condition 1 and of $1.102 \pm 0.2 SE$ in condition 2 of keyset A. For the

factor 'object' in keyset B, I only found significantly more switches before subjects inserted the One-Arm than the L-Shape (Wilcoxon signed Rank Test N=13, Z=-2.71, p=0.004) or the Tripod (Wilcoxon signed Rank Test N=13, Z=-2.98, p=0.001; subjects means for each shape: One-Arm 1.14+/- 0.08 SE; Two-Arm 0.89+/- 0.1 SE; L-Shape 0.91+/- 0.01 SE; Tripod 0.82+/- 0.09 SE; Cross 0.97+/- 0.08 SE).

When looking at the percentage of switches in which the second object the birds selected was the correct one, I found that the group exchanged their dropped choice for the correct match the keyhole significantly above chance expectation in keyset B (One Sample Wilcoxon test N=13, Z= 3.18, p<0.001) but not in keyset A (One Sample Wilcoxon test N=13, Z=0.94, p=0.36). Individual data suggests that one bird switched correctly above chance for keyset A and all for keyset B (Binominal Tests p<0.05; See Appendix Table A6).

5.4. The keybox: Insertion effort

The GLMM on the duration of combining the correct object with the box prior to insertion (in sec) revealed a significant effect of the factor 'key' in both keyset A (F=15.56, df1=4, df2=355, p<0.0001) and keyset B (F=16.53, df1=2, df2=355, p<0.0001) and an effect of an interaction of 'group*key' in keyset A (F=3.24, df1=4, df2=355, p<0.012) but not for other factors measured (see Appendix Table A7 for detailed GLMM results). As predicted, I found that the birds took longer to insert the Triangle (Wilcoxon signed Rank Test N=13, Z=-3.18, p<0.0001) and the Square (Wilcoxon signed Rank Test N=13, Z=-3.18, p<0.0001) than the Circle within keyset A. The difference between Triangle and Square was not above chance (Wilcoxon signed Rank Test N=13, Z=-1.083, p=0.279). For keyset B, I found that subjects took longer to insert the Tripod than the L-Shape and the One-Arm (Wilcoxon signed Rank Test N=13, L-shape Z=-3.181, p<0.000; One-arm Z=-2.41, p=0.01) and longer for the Cross than any other object (Wilcoxon signed Rank Test N=13, L-shape Z=-3.041, p=0.001; One-Arm Z=-2.55, p=0.008; Tripod Z=-2.411, p=0.013, Two-Arm Z=-2.341, p=0.01; see Fig 9). I did not find a significant effect of the duration of combination for any key (Mann-Whitney U tests all p<0.025; n.s. after Bonferroni Holms correction).

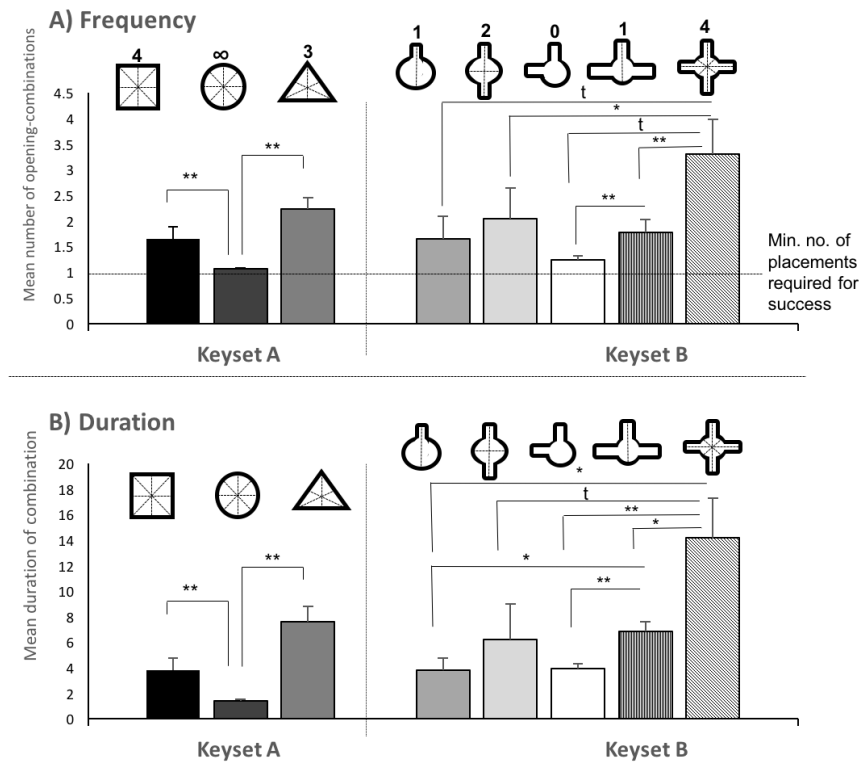


Fig 9. Insertion effort. A) Mean number of combination of a correct key with its corresponding opening before insertion for each key within keyset A and B respectively (numbers above keys represent numbers of sides of symmetry) B) Mean duration (in seconds) of combination of a correct key with its corresponding opening before insertion for keyset A and B respectively. * above chance expectation; ** $p < 0.0001$; t= n.s. trend: $p < 0.05$ but not above chance exception after Bonferroni-Holms correction for multiple comparisons.

The GLMM on the number of times the birds combined the correct key with the keyhole before insertion (frequency) similarly indicates a significant effect of key-type for both keyset A ($F = 13.071$, $df_1 = 2$, $df_2 = 331$, $p < 0.0001$) and keyset B ($F = 7.113$, $df_1 = 4$, $df_2 = 335$, $p < 0.0001$) but not for any other factor measured (see Appendix Table A7 for detailed GLMM results).

Again, I found that the animals combined the Triangle (Wilcoxon signed Rank Test $N = 13$, $Z = -3.06$, $p < 0.0001$) and the Square (Wilcoxon signed Rank Test $N = 13$, $Z = -3.018$, $p < 0.0001$) more often than the Circle with the corresponding keyhole before succeeding to insert it, but the difference between Triangle and Square was not above chance (Wilcoxon signed Rank Test $N = 13$, $Z = -3.14$, $p = 0.787$; see Fig 9 for more detail). Within keyset B they needed more combinations for the Cross than for the L-shape (Wilcoxon signed Rank Test $N = 13$, $Z = -2.904$, $p = 0.001$) and the Two-Arm ($Z = -2.8314$, $p = 0.002$). The frequency difference between the Cross and the Tripod

($Z=-2.238$, $p=0.023$) and the Cross and the One-Arm ($Z=-2.132$, $p=0.033$) was a non-significant trend after a correction for multiple comparisons using the Bonferroni-Holms method. For the Tripod, subjects also required significantly more combinations than the L-shape (Wilcoxon signed Rank Test $N=13$, $Z=-3.042$, $p=0.00$; see Fig 6). Fig 6 indicates that the birds rarely needed more than two insertion attempts for all shapes except the Cross. Also, they rarely needed more than a single attempt to insert the Circle and interestingly the non-symmetric L-shaped key.

Importantly, subjects seemed to use shape-matching to solve keyset A (due to the keys' geometrical properties, it was impossible to insert them any other way) but not Keyset B. Qualitatively, the birds initially seemed to attempt to align the axes of the object of keyset B but soon discovered alternative solutions to each different task which were then used uniformly to insert the correct key into its corresponding keyhole: the One-Arm key was held in a way that the protrusion either faced backwards or forwards while being inserted, the two protrusions correspondingly faced backwards and forwards in the Two-Arm condition; in the L-Shape condition subjects held the tool at one of the protrusions, inserted the other one into the box and tilted the object upwards through the hole; the Tripod was held at one end of its long axis and thus only the protrusion at its short axis was fitted through the one of the three remaining grooves; in the Cross condition, they proceeded similarly but aligned two opposite protrusions to their grooves (see Fig 3).

6. Discussion

6.1. Unrewarded Shape Matching

In the first part of our study, when birds were offered a selection of objects alongside various substrate cutouts in an unrewarded context, they combined objects with their corresponding cutouts at chance levels during the initial phase. Bringing a shape into contact with its corresponding frame thus seems to occur accidentally while trying to insert different objects into different substrates. The behaviour seems to be driven by these cockatoos' playful propensity to combine two objects or objects with substrates in different ways. Previous studies indicate that such combinatory object play occurs at similar levels in this species as in habitually tool using birds such as New Caledonian crows and black Palm cockatoos [14,39]. Nevertheless, experience in unstacking objects and, even more, human demonstrations strongly increased the number of correct object-substrate combinations as well as successful

shape-frame matches. This indicates that both experiencing the manipulative effect of unstacking an object from a pole/indentation as well as the social enhancement involved in a human demonstration strongly increases the birds' motivation to interact with the object on the corresponding pole/substrate. Thereby, the birds seem to attempt to playfully repeat the experienced/observed action despite the lack of a reward. In particular, the strong effect of demonstrations is surprising as a previous study showed little impact of stimulus enhancement by a conspecific on the choice of a non-food object for rostral manipulation in this species [40]. A human may be associated with food from previous experiments and thus enhance exploration. Alternatively, an object-substrate interaction may constitute a more interesting demonstration than a simple rostral manipulation. Incidentally, the birds have been shown to learn socially in a tool using context [38].

6.2. The Keybox: Tool Selection

As soon as shape-frame matching became part of the solution to a rewarded tool using task in the keybox experiment, subjects were immediately proficient at fitting the appropriate object into a corresponding opening, regardless of its shape.

The evaluation on tool selection signifies that subjects picked the correct key above chance expectation straightaway, within the first session block, for both keysets. Nevertheless, they kept perfecting their performance throughout sessions in keyset B. This is fairly surprising as the same subjects kept failing a basic matching to sample (MTS) task on the touchscreen for hundreds of trials in the previous year [28]. As they could solve different problems on the touchscreen it is unlikely that they had problems with vision or motivation [41]. Nevertheless, it is possible that the virtual environment of the touchscreen setup appeared too abstract to allow for fast MTS conceptualisation. As mentioned before, direct comparisons between touch screen tasks versus using solid objects indicate a similar trend in some birds and humans [29-31]. It is likely that having a haptic in addition to a visual experience and solid 3D versus 2D objects may increase the number of stimuli that can be used for discrimination [41,43]. An even more practical explanation is that matching a tool into a corresponding substrate frame may provide a more purposeful context than merely learning to match same to same and may therefore be easier to inculcate.

Interestingly, subjects performed better in condition 1 than condition 2 of keyset A. Here it is important to note that in condition 2, the remaining objects were

not removed after a key was selected, allowing the birds to correct a wrong choice. The costs imposed by an incorrect selection in condition 2 were therefore much smaller than in condition 1. Furthermore, the parrots tend to lose interest after extensive exposure to the same experimental setups and start exploring the affordances of the apparatus instead of solving the problem in the habitual way, e.g. [38,44,45].

6.3. The keybox: Switch

While making a selection, the animals often picked up one object, but discarded it in favour for another prior to insertion (note that we only removed the other objects in condition 1 after they had made contact with the apparatus). At least for keyset B, the second key the birds chose was usually the correct one. This makes it likely that the animals use a combination of haptic information from holding the key against their beak tip organ [46] as well as visual information from the keyhole in order to exclude specific objects for the task at hand. A recent study on Kea and Capuchin monkeys supports these claims, as researchers could show that additional haptic information helps Kea to visually distinguish between objects [47]. The cockatoos have previously shown to be able to use inference by exclusion in a more abstract setup [41]. Moreover, in a tool making study [25] they were observed to immediately discard material pieces that they had previously manufactured but that were of insufficient length to poke at an out-of-reach food after checking up on the distance of the goal item. The One-Arm keyhole produced particularly many of such object 'switches'. One possible explanation for these results could be the lack of features provided by the One-Arm keyhole as opposed to the other keyholes featuring at least two grooves and therefore also more information about the correct key. In the case of the One-Arm keyhole, inference by exclusion might therefore have been a feasible strategy to find the correct key.

Notably, in Demery's study [34], asymmetrical shapes were explored more than symmetrical ones, suggesting that the asymmetrical One-Arm keyhole triggered more extensive exploration before insertion. In the study by Lambert et al. [35] however, functional objects did not trigger more exploration in Kea and New Caledonian Crows as compared to non-functional ones, suggesting that the birds in this study did not seek information about the objects in a directed way.

6.4. The Keybox: Insertion Effort

Evidently, frequency and duration are not independent (the more placement attempts a bird makes, the longer it takes to successfully insert the key). Therefore, finding trends in the same direction is not unexpected. Data on frequency in keyset A suggests that subjects constantly inserted the circular object on the first placement attempt and that they needed only about 2 placement attempts for both, the Square and the Triangle. This is in contrast to previous findings in primates, which needed around 4-5 placements on average when inserting a stick into a circular hole or a stick into a groove of the same length (the capuchins needed 9 placements for the latter) [7]. However, our results parallel findings in human infants, who were more successful in inserting objects with a lower level of symmetry [1]. Nevertheless, data on frequency and duration in keyset A parallels primate results and, unsurprisingly, confirms my predictions that lower levels of symmetry seem to increase the animals' insertion effort.

As to the question whether some keys might have been easier to grasp than others due to their shape (keys with more edges might be easier to hold, e.g. the Square easier than Triangle), frequency and duration do not show a significant difference. Due to the highly coordinated beak-tongue movements parrots are capable of, the key shape is rather unlikely to have an influence on the birds' grasp.

Findings on keyset B, however, contradict predictions that would be made according to the different degrees of symmetry and number of axis on the objects: If the objects had been fully aligned as in keyset A in order to achieve insertion we would have expected the birds' insertion effort to behave as follows: L-Shape>Tripod>One-Arm>Two-Arm> Cross. Instead, what we found was this trend: Cross>Tripod>Two Arm>L-shape & One Arm. These patterns can be explained by the animals' object specific insertion techniques to circumvent alignment of perforating object features with the keyhole. This was possible as I decided not to use objects that had elongated depth (as the upper mandible is bigger and curved, I was not sure whether the birds would still be able to have full sight of the keyhole during insertion with the tool pointing down their beak). Furthermore, if the surface of the depth became larger than the surface of the front subjects might pay less attention to the shape of the front. The parrots turned each object in a specific manner, consequently avoiding the alignment of some of the features by holding at least one protrusion and, if possible, two, facing forwards and backwards during

insertion (see Fig 3). Hence, they only needed to align one remaining protrusion when the Tripod was the key and two remaining protrusions when the Cross was the key, explaining their above performance.

Additionally, subjects behaved flexibly, using a completely different motor routine for inserting the L-shape, inserting first one of the two protrusions into the big part of the opening and thereafter tossing the rest of the object through the keyhole with an upward movement of the beak. This made the insertion of the L-shape key as successful as the insertion of the One-Arm. As mentioned previously, human infants facing a posting task featuring a disc and a linear slot for insertion (as inserting a coin into a cash machine), did not turn the disc to facilitate insertion before 24 months of age [2]. This finding is partly linked to the poor development of an allocentric frame of reference in younger infants. The birds, in contrast, seem to quickly learn to pay attention to the major axes of the objects as well as the insertion groove. Also, the parrots turning the objects to avoid insertion effort suggests that they do seem to use an allocentric frame of reference when handling these tools.

I finally looked at a possible effect of playful experience with unrewarded inserting and stacking/unstacking of similar object shapes on performance in both tool selection as well as insertion effort. Although all birds in the test group had successfully inserted/stacked/unstacked each shape several times before testing started, I failed to find any effect of unrewarded shape matching experience on birds' performance in the keybox task. Thus, the intense and intrinsically structured combinatorial object play in this species may not have a direct benefit, like gaining experience for future problem-solving tasks, but could simply be a side effect of their explorative foraging technique. However, the objects used in the play experience may not have been sufficiently analogous in size and weight to the objects used in the test or that the experience was not intense enough to create an observable effect. Furthermore, the sequence of the tasks as well as the time passed between play experience and tool use task could have influenced the effect of play on the keybox task.

7. Conclusion

My results suggest that the ability to align objects to a corresponding substrate groove is neither limited to primates nor to habitually tool using species nor to animals with hand-like appendices. While the animals seem to use an allocentric frame of reference to achieve object insertions it is not yet possible to determine whether they construct the alignments in 3 dimensions as I was unable to observe small details of the adjustment of the object on the substrate with the birds blocking the view too often to obtain reliable data.

The ecological benefits of shape-frame matching abilities for capuchins and chimpanzees are likely to facilitate tool use. For example, in both species various populations have been observed to use sticks to probe for food in different holes and crevices, and to crack nuts by placing the nut on an anvil and then striking the nut with a hammer stone, e.g. [48-50]. The Goffin's cockatoo cannot be considered a habitual tool user, at least not on a population-wide level as suggested by unpublished data from Osuna-Mascaro & Auersperg. Nevertheless, they are highly opportunistic foragers using different feeding techniques that include extractive foraging according to unpublished data from O'Hara & Mioduszezewska. While lacking primate hands, their beak is highly dexterous, with a flexible upper mandible and a tongue that can be moved in an almost thumb-like manner [38]. Additionally, Auersperg et al. [13] and Osuna-Mascaro & Auersperg [24] suggest that they have a strong predisposition to combine objects during play and occasionally also during foraging. From present knowledge, I can assume that their ability to align objects seems to derive from domain general flexibility rather than a specific specialisation.

Future comparative research on the basics of object alignment should incorporate more avian species; particularly habitual and non-habitual tool using birds as well as nest building parrots would be of great interest, as they allegedly possess enhanced physical cognition [51,52,53]. Follow up research on the Goffin's cockatoo will focus on fine details of object alignment and beak-tongue actions on the object before and during insertion which we hope to achieve by filming from the inside of a Perspex box. This will help us determine a better general overview of their fitting techniques and to evaluate the role of visual adjustment in these techniques [e.g. 9].

8. Acknowledgements

I would like to thank Alice Auersperg for her guidance and support throughout all phases of the project, and for giving me the opportunity to work with these amazing birds. I would also like to thank Thomas Bugnyar for his supervision. A big thank you to my colleagues at the Goffin Lab: Isabelle Laumer, Romana Gruber and Isabella Beinhauer for their encouragement and support, and to Claire Battin for rating 10% of the original data. I also thank Lukas and Andreas Auersperg for their help with the construction of the playsets and the keybox apparatus. Moreover, I would like to thank my family and friends for bearing with endless parrot stories and pictures.

9. References

1. Örnkloo H, von Hofsten C. Fitting objects into holes: On the development of spatial cognition skills. *Dev Psych.* 2007;43(2):404–16.
2. Street SY, James KH, Jones SS, Smith LB. Vision for action in toddlers: The posting task. *Child Dev.* 2011;82:2083–94. doi: 10.1111/j.1467-8624.2011.01655.x PMID: 22004184
3. Pick HL, Lockman JJ. From frames of reference to spatial representations. In: Liben LS, Patterson AH, Newcombe N, editors. *Spatial representation and behavior across the lifespan.* New York: Academic Press; 1981. p. 39–61.
4. Poti P. Aspects of spatial cognition in capuchins (*Cebus apella*): Frames of reference and scale of space. *Anim Cogn.* 2000; 3:69–77.
5. Lockman JJ. A perception-action perspective on tool use development. *Child Dev.* 2000;71:137–44. PMID: 10836567
6. Bernstein N. *The coordination and regulation of movements.* New York: Pergamon Press; 1967.
7. Frigaszy DM, Stone BW, Scott NM, Menzel C. How tufted capuchin monkeys (*Cebus apella* spp) and common chimpanzees (*Pan troglodytes*) align objects to surfaces: Insights into spatial reasoning and implications for tool use. *Am J Primatol.* 2011; 73:1012–30. doi: 10.1002/ajp.20966 PMID: 21608008.
8. Bayley N. *Bayley Scales of Infant Development.* New York: Psychological Corporation; 1969.
9. Frigaszy DM, Kuroshima H, Stone BW. “Vision for Action” in Young Children Aligning Multi-Featured Objects: Development and Comparison with Nonhuman Primates. *PLoS ONE.* 2015 Oct 6;10(10): e0140033. doi:10.1371/journal.pone.0140033
10. Frigaszy DM. How nonhuman primates use their hands. In: Connolly K, editor. *The psychobiology of the hand.* London: MacKeith Press; 1998. p.77–96.
11. Lantermann W. *Papageienkunde.* Berlin: Parey Buchverlag;1999.
12. Heinsohn R, Zdenek CN, Cunningham RB, Endler JA, Langmore NE. Tool-assisted rhythmic drumming in palm cockatoos shares key elements of human instrumental music. *Sci Adv.* 2017 June 28;3: e1602399
13. Auersperg AML, van Horik J, Bugnyar T, Kacelnik K, Emery N, von Bayern AMP. Combinatory actions during object play in psittaciformes (*Diopsittaca nobilis*, *Pionites melanocephala*, *Cacatua goffini*) and corvids (*Corvus corax*, *C. monedula*, *C. moneduloides*). *J Comp Psychol.* 2015;129(1):62-71. Epub 2014 Dec 1
14. Auersperg AML, Oswald N, Domanegg M, Gajdon GK, Bugnyar T. Unrewarded object combinations in captive parrots. *Anim Behav Cogn.* 2014;1(4):470-88. doi: 10.12966/abc.11.05.2014
15. Gajdon GK, Lichtnegger M, Huber L. What a parrot’s mind adds to play: The urge to produce novelty fosters tool use acquisition in kea. *Open J Anim Sci.* 2014; 4(2):51–8. <http://dx.doi.org/10.4236/ojas.2014.42008>
16. Fagen R. *Animal play behavior.* New York, NY: Oxford University Press; 1981.

17. Graham KL, Burghardt GM. Current perspectives on the biological study of play: Signs of progress. *Q Rev Biol.* 2010;85:393–418. <http://dx.doi.org/10.1086/656903>
18. Diamond J, Bond AB. *Kea, bird of paradox: The evolution and behavior of a New Zealand parrot.* Berkeley, CA: University of California Press; 1999.
19. Fragaszy D, Visalberghi E, Fedigan L. *The complete capuchin.* New York: Cambridge University Press; 2004.
20. Torigoe T. Comparison of object manipulation among 74 species of nonhuman primates. *Primates.* 1985;26:182–194. <http://dx.doi.org/10.1007/BF02382017>
21. Diamond J, Bond AB. A comparative analysis of social play in birds. *Behaviour,* 2003;140:1091–1115. <http://dx.doi.org/10.1163/156853903322589650>
22. Kenward B, Schloegl C, Rutz C, Weir AAS, Bugnyar T, Kacelnik A. On the evolutionary and ontogenetic origins of tool-oriented behaviour in New Caledonian crows (*Corvus moneduloides*). *Biol J Linn Soc.* 2011;102:870–877. <http://dx.doi.org/10.1111/j.1095-8312.2011.01613.x>
23. Auersperg AMI, Szabo B, von Bayern AMP, Kacelnik A. Spontaneous innovation of tool manufacture and use in a Goffin's cockatoo. *Curr Biol.* 2012;22(21):903-4. doi: <http://dx.doi.org/10.1016/j.cub.2012.09.002>
24. Osuna-Mascaro AJO, Auersperg AMI. On the brink to tool use: How object combinations foster functional behaviour in feral Goffin's cockatoos. *Anim Behav Cogn.* In Press.
25. Auersperg AMI, Borasinski S, Laumer I, Kacelnik K. Goffin's cockatoos make the same tool type from different materials. *Biol Lett.* 2016 Nov 16;12(11). doi: 10.1098/rsbl.2016.0689
26. Auersperg AMI, Köck C, Pledermann A, O'Hara M, Huber L. Safekeeping of tools in Goffin's cockatoos (*Cacatua goffiniana*). *Anim Behav.* 2017;128:125-33.
27. Laumer I, Bugnyar T, Auersperg AMI. Flexible decision-making relative to reward quality and tool functionality in Goffin's cockatoos (*Cacatua goffiniana*). *Sci Rep.* 2016; 6. 28380. doi:10.1038/srep28380
28. Gruber R. Matching to Sample in the Goffin's cockatoo (*Cacatua goffiniana*) [Master Thesis]. Vienna (Austria): University of Vienna; 2016.
29. O'Hara M, Huber L, Gajdon GK. The advantage of objects over images in discrimination and reversal learning by kea, *Nestor notabilis*. *Anim Behav.* 2015;101:51-60.
30. Spetch ML, Friedman A. Comparative cognition of object recognition. *Comp Cogn Behav Rev.* 2006;1:12-35. <http://dx.doi.org/10.3819/ccbr.2008.10002>.
31. Stephan C, Steurer MM, Aust U. Discrimination of holograms and real objects by pigeons (*Columba livia*) and humans (*Homo sapiens*). *J Comp Psychol.* 2014;128(3):261-75. <http://dx.doi.org/10.1037/a0036874>.
32. Burghardt G. *The genesis of animal play.* Cambridge (MA): MIT Press; 2005.
33. Bateson P, Martin P. *Play, playfulness, innovation and creativity.* Cambridge: Cambridge University Press; 2013. <http://dx.doi.org/10.1017/CBO9781139057691>
34. Demery ZP, Chappell J. Learning through exploration: a bird's eye view. Poster session presented at Association for the Study of Animal Behaviour Summer Conference; 2011 Aug 18-19; St. Andrews, UK.

35. Lambert ML, Schiestl M, Schwing R, Taylor AH, Gajdon GK, Slocombe KE, Seed AM. Function and flexibility of object exploration in kea and New Caledonian crows. *R. Soc. open sci.* 2017;4: 170652. <http://dx.doi.org/10.1098/rsos.170652>
36. Auersperg AMI, Kacelnik A, von Bayern AMP. Explorative learning and functional inferences on a five-step means-means-end problem in Goffin's cockatoos (*Cacatua goffini*). *PLoS One.* 2013;8: e68979. doi: 10.1371/journal.pone.0068979 PMID: 23844247
37. Auersperg AMI, Szabo B, von Bayern AMP, Bugnyar T. Object permanence in the Goffin's cockatoo (*Cacatua goffini*). *J Comp Psychol.* 2014 Feb;128(1):88-98. doi: 10.1037/a0033272. Epub 2013 Jul 22.
38. Auersperg AMI, von Bayern AMP, Weber S, Szapari A, Bugnyar T, Kacelnik A. Social transmission of tool use and tool manufacture in Goffin cockatoos (*Cacatua goffini*). *Proc Biol Sci.* 2014 Oct 22;281(1793). pii: 20140972. doi: 10.1098/rspb.2014.0972
39. Auersperg AMI. Exploration technique and technical innovations in corvids and parrots. In: Kaufman J, Kaufman A, editors. *Animal Innovation and Creativity*. London: Elsevier Academic Press; 2015. p. 45-69.
40. Szabo B, Bugnyar T, Auersperg AMI. Within-group relationships and lack of social enhancement during object manipulation in captive Goffin's cockatoos (*Cacatua goffiniana*). *Learn Behav.* 2017;45(1):7–19. Epub 2016 Jul 12. doi: 10.3758/s13420-016-0235-0
41. O'Hara M, Auersperg AMI, Bugnyar T, Huber L. Inference by exclusion in Goffin cockatoos (*Cacatua goffini*). *PLoS ONE.* 2015;10(8):e0134894. doi:10.1371/journal.pone.0134894
42. Uchida N, Mainen ZF. Speed and accuracy of olfactory discrimination in the rat. *Nat Neurosci.* 2003 Nov;6(11):1224-9. Epub 2003 Oct 19 <http://dx.doi.org/10.1038/nn1142>.
43. Friedman A, Spetch ML, Ferrey A. Recognition by humans and pigeons of novel views of 3-D objects and their photographs. *J Exp Psychol Gen.* 2005;134(2):149-162. <http://dx.doi.org/10.1037/0096-3445.134.2.149>.
44. Auersperg AMI, von Bayern AMP, Gajdon GK, Huber L, Kacelnik A. Flexibility in problem solving and tool use of kea and New Caledonian crows in a Multi Access Box paradigm. *PLoS ONE.* 2011;6(6): e20231.
45. O'Hara M, Gajdon GK, Huber L. Kea logics: how these birds solve difficult problems and outsmart researchers. In: Watanabe S, editor. *Logic and sensibility*. Tokio: Keio University Press; 2012. p. 23–37.
46. Demery ZP, Chappell J, Martin GR. Vision, touch and object manipulation in Senegal parrots *Poicephalus senegalus*. *Proc Biol Sci.* 2011;278:3687–93. doi:10.1098/rspb.2011.0374
47. Carducci P, Schwing R, Huber L, Truppa V. Tactile information improves visual object discrimination in kea (*Nestor notabilis*) and capuchin monkeys (*Sapajus spp.*). *Anim Behav.* In Press.
48. Boesch C, Boesch-Achermann H. *The Chimpanzees of the Tai Forest: Behavioural Ecology and Evolution*. Oxford: Oxford University Press; 2000.
49. Mannu M, Ottoni EB. The enhanced tool-kit of two groups of wild bearded capuchin monkeys in the Caatinga: tool making, associative use, and secondary tools. *Am J Primatol.* 2009 Mar;71(3):242-51. doi: 10.1002/ajp.20642.

50. Sanz CM, Morgan DB. Chimpanzee tool technology in the Goualougo Triangle, Republic of Congo. *J Hum Evol.* 2007 Apr;52(4):420-33. Epub 2006 Nov 19.
51. Healy S, Walsh PT, Hansell M. Nest building by birds. *Curr Biol.* 2008;18:R271-R273.
52. Walsh PT, Hansell M, Borello WD, Healy SD. Repeatability of nest morphology in African weaver birds. *Biol Letters*;6:149-151.
53. Hansell M. *Built by animals.* Oxford, United Kingdom: Oxford University Press; 2007.

10. Appendix



Figure A1. Examples of shape/frame matching. Observed during Auersperg et al. 2014 (see reference list). Left: shape-frame matching; right: frame-shape matching.

Table A1. Inter-observer reliability results. Intra-Class Correlation Coefficient (ICC).

	<i>Intra class Correlation</i>	<i>95%-confidence interval</i>		<i>F-test with 0 value</i>			
		<i>Lower Limit</i>	<i>Upper Limit</i>	<i>Value</i>	<i>df1</i>	<i>df2</i>	<i>Sig.</i>
<i>Wall</i>	0.999	0.999	1.000	1748.565	285	285	0.000
<i>Key</i>	0.990	0.987	0.992	95.474	283	283	0.000
<i>Location</i>	0.987	0.983	0.990	75.749	283	283	0.000
<i>Correct</i>	1.000				283		
<i>Success</i>	1.000				283		
<i>Exclusion</i>	0.965	0.955	0.972	28.230	283	283	0.000
<i>Nrexclusion</i>	0.871	0.774	0.924	8.454	62	62	0.000
<i>Frequency</i>	0.993	0.992	0.995	149.139	283	283	0.000
<i>Duration</i>	0.997	0.994	0.998	347.836	283	283	0.000

Table A2. Results GLMM analysis for USM. Listed for each playset (A-C) for each target (no. of correct combinations; number of shape matches). Fixed factors are condition (1-3); object and session; random factor is subject. Above chance p-values are marked in yellow.

<i>Fixed Factors</i>	F	df1	df2	p	coefficient	SE
Playset A						
<i>Target: No. Correct; Akaike: 1669.193</i>						
<i>Phase</i>	11.158	2	306	>0.0001	1.133	0.265
<i>object</i>	5.061	2	306	0.007	0.114	0.265
<i>session</i>	2.064	4	306	0.086	-0.619	0.342
<i>Target: Shape match; Akaike 1980.309</i>						
<i>Phase</i>	5.577	2	306	0.004	-0.5333	0.181
<i>object</i>	4.731	2	306	0.009	0.048	0.181
<i>session</i>	1.444	4	306	0.219	-0.206	0.234
Playset B						
<i>Target: No. correct; Akaike 1606.123</i>						
<i>Phase</i>	8.845	2	306	>0.0001	-1.762	0.426
<i>Object</i>	2.858	2	306	0.059	-0.8857	0.426
<i>Session</i>	1.735	4	306	0.142	-1.095	0.55
<i>Target: Shape match; Akaike 1980.309</i>						
<i>Phase</i>	4.555	2	306	0.011	-0.448	0.228
<i>Object</i>	1.346	2	306	0.262	-0.324	0.228
<i>Session</i>	1.757	4	306	0.137	-0.73	0.294
Playset C						
<i>Target: No. Correct; Akaike 1252.03</i>						
<i>Phase</i>	3.81	2	261	0.023	0.089	0.364
<i>Object</i>	10.867	2	261	<0.0001	1.871	0.41
<i>Session</i>	0.377	4	261	0.825	0.352	0.47
<i>Target: Shape match; Akaike 683.705</i>						
<i>Phase</i>	3.322	2	261	0.038	0.033	0.123
<i>Object</i>	4.585	2	261	0.011	0.416	0.138
<i>Session</i>	0.452	4	261	0.771	0.019	0.158

Table A3. Individual data (number of correct choices) for each subject of each group. (T=enhanced play experience; C= no enhanced play experience) for each keyset (A & B) for each condition (1&2). For keyset B, the data are further divided into two session blocks for each condition: * significantly above chance (Binominal test); ** p<0.0001.

Subject	Sex	Group	Keyset A (3 keys)		Keyset B (5 keys)			
			Condition 1	Condition 2	Condition 1		Condition 2	
			Session 1-3	Session 4-6	Session 1-3	Session 4-6	Session 7-9	Session 10-12
			Correct/36	Correct/36	Correct/30	Correct/30	Correct/30	Correct/30
Dolittle	M	T	11	10	16**	25**	24**	26**
Fini	F	T	17	12	13*	25**	25**	27**
Heidi	F	T	14	8	8	10	18**	27**
Konrad	M	T	15	13	8	17**	23**	28**
Moneypenny	F	T	17	12	12*	25**	28**	27**
Muki	M	T	16	9	9	8	20**	26**
Pipin	M	T	16	9	10	18**	26**	27**
Figaro	M	C	14	11	8	15*	27**	27**
Kiwi	M	C	16	9	9	24**	25**	29**
Mayday	F	C	15	9	9	14*	15*	27**
Muppet	M	C	15	17	8	15*	23**	29**
Olympia	F	C	20*	8	10	19**	23**	27**
ZoZo	M	C	18*	7	11*	19**	27**	29**

Table A4. Results GLMM analysis for keybox selection. Listed for each keyset A and B on no. of correct objects selected. Fixed factors are condition (1-2); key (1-3 in keyset A, 1-5 in keyset B) session; group and an interaction group*key; random factor is subject. Above chance p-values are marked in yellow.

GLMM output Selection						
Keyset A						
Target: Number correct Akaike=638.59						
	F	df1	df2	p	coefficient	SE
key	2.159	2	225	1.118	-0.194	0.217
session	0.424	2	225	0.655	-0.103	0.147
group	0.048	1	225	0.827	0.083	0.209
condition	24.05	1	225	<0.0001	0.59	0.12
group*key	0.331	2	225	0.719	-0.091	0.295
Keyset B						
Target: Number Correct						
	F	df1	df2	p	coefficient	SE
group	0.005	1	764	0.945	0.111	0.102
key	1.935	4	764	0.103	-0.638	0.076
condition	285.873	1	764	<0.0001	0.058	0.136
session	22.505	5	764	<0.0001	-0.744	0.044
group*key	0.929	4	764	0.446	0.44	0.139

Table A5. Results GLMM analysis for keybox switches. Listed for each keyset A and B on no. of correct objects selected. Fixed factors are condition (1-2); key (1-3 in keyset A, 1-5 in keyset B) session; group and an interaction group*key; random factor is subject. Above chance p-values are marked in yellow.

GLMM Output Switches						
Keyset A						
<i>Target: number of objects picked up and discarded before making a choice, Akaike=638.59</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	1.503	2	225	0.225	0.306	0.218
<i>session</i>	0.42	2	225	0.658	0.128	0.148
<i>group</i>	0.003	1	225	0.957	0.111	0.47
<i>condition</i>	39.611	1	225	>0.0001	-0.716	0.121
<i>group*key</i>	0.333	2	225	0.717	-0.234	0.297

Keyset B						
<i>Target: number of objects picked up and discarded before making a choice, Akaike=669.069</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	2.526	4	764	0.04	0.278	0.155
<i>session</i>	2.071	5	764	0.067	-0.254	0.116
<i>group</i>	0.75	4	764	0.387	-0.048	0.199
<i>condition</i>	3.395	1	764	0.66	-0.123	0.067
<i>group*key</i>	0.46	4	764	0.765	-0.206	0.212

Table A6. Individual data on the total number of object switches before combining an object with apparatus. Also listed is the number of times the object switched for was the correct choice. * significantly above chance (Binominal test); ** p<0.0001.

<i>Subject</i>	Keyset A		Keyset B	
	<i>No. of switches</i>	<i>No. Correct</i>	<i>No. switches</i>	<i>No. correct</i>
<i>Doolittle</i>	13	6	80	64**
<i>Fini</i>	35	14	73	52**
<i>Heidi</i>	4	1	39	28**
<i>Konrad</i>	12	5	47	35**
<i>Moneypenny</i>	5	3	53	42**
<i>Muki</i>	8	1	32	23**
<i>Pipin</i>	4	1	53	34**
<i>Figaro</i>	11	5	71	44**
<i>Kiwi</i>	10	3	61	50**
<i>Mayday</i>	1	0	39	26**
<i>Muppet</i>	46	24*	79	41**
<i>Olympia</i>	2	1	54	36**
<i>Zozo</i>	2	1	61	51**
<i>Mean</i>	11.76923077	5	57.07692308	40.46153846
<i>Std</i>	13.55331353	6.806859286	15.57488116	11.78003526
<i>SE</i>	3.759012838	1.887883091	4.319694817	3.267193936

Table A7. Results GLMM analysis for the keybox insertion effort. Listed for each keyset A and B on duration and frequency as target variables. Fixed factors are condition (1-2); key (1-3 in keyset A, 1-5 in keyset B) session; group and an interaction group*key; random factor is subject. Above chance p-values are marked in yellow.

GLMM Output Insertion Effort						
Keyset B						
<i>Target: Duration, seconds of combination; Akaike=2792.640</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	16.53	4	355	<0.0001	-17.649	2.863
<i>session</i>	0.594	5	355	0.75	-0.34	2.091
<i>group</i>	0.884	1	355	0.348	0.74	3.282
<i>group*key</i>	3.24	4	355	0.012	8.213	3.911
Keyset A						
<i>Target: Duration seconds of combination; Akaike=2248.856</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	22.11	2	331	<0.0001	0.459	1.3
<i>session</i>	2.837	2	331	0.06	0.54	0.91
<i>group</i>	0.127	1	331	0.722	1.477	1.489
<i>group*key</i>	0.765	2	331	0.466	-2.19	1.77
Keyset A						
<i>Target: Frequency, Number of Combinations; Akaike=1356.91</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	13.071	2	331	<0.0001	0.006	0.339
<i>session</i>	2.273	5	331	0.105	0.008	0.238
<i>group</i>	0.001	1	331	0.981	0.237	0.342
<i>group*key</i>	0.608	4	331	0.545	-0.507	0.463
Keyset B						
<i>Target: Frequency, Number of Combinations; Akaike=1857,291</i>						
	<i>F</i>	<i>df1</i>	<i>df2</i>	<i>p</i>	<i>coefficient</i>	<i>SE</i>
<i>key</i>	7.113	4	335	<0.0001	-2.569	0.767
<i>session</i>	1.252	5	335	0.284	1.067	0.561
<i>group</i>	0.881	1	335	0.349	-1.839	0.857
<i>group*key</i>	1.778	4	355	0.133	0.889	1.048