

Open Access: an overview of current European initiatives and policies

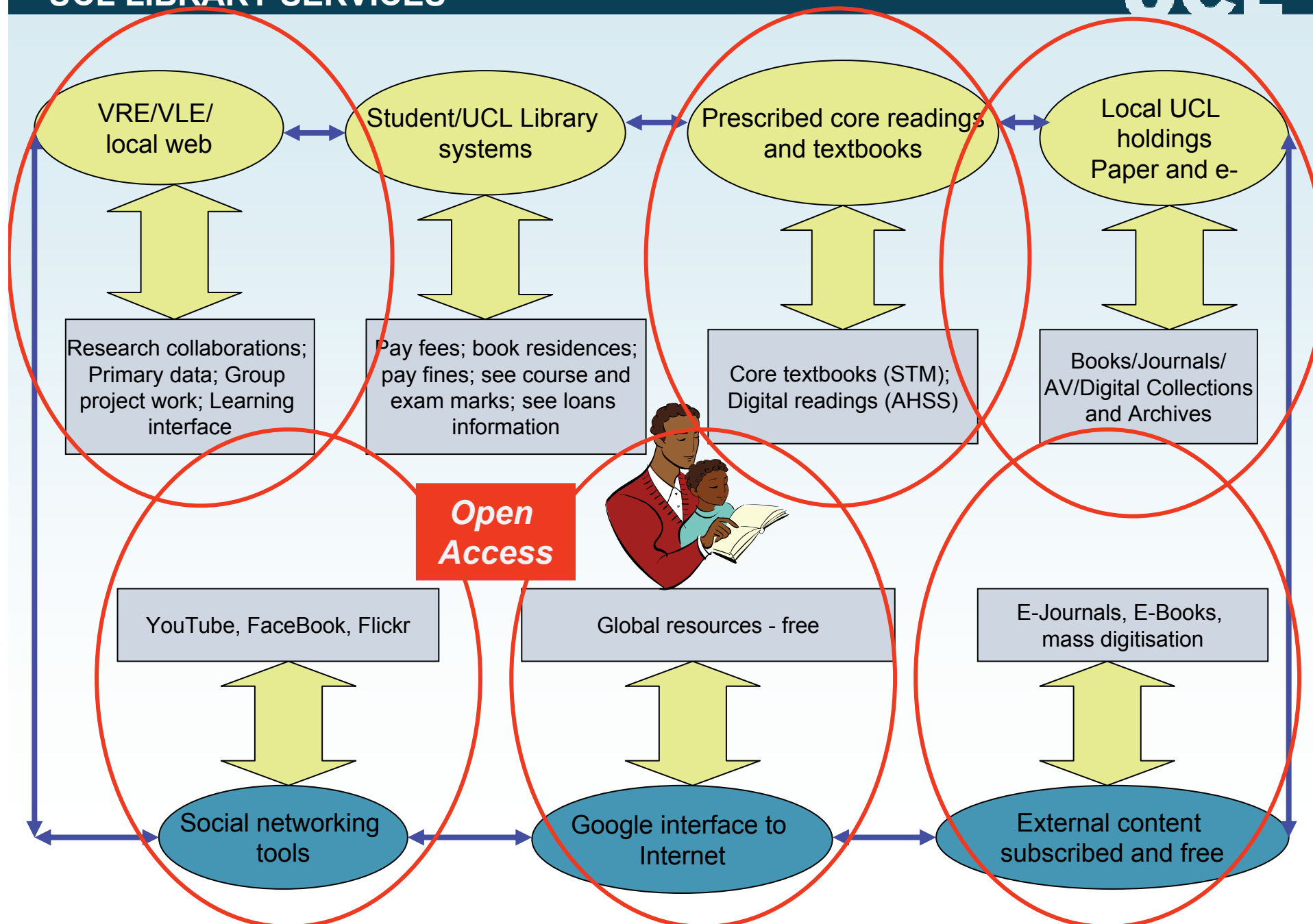
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Open Access repositories in Europe

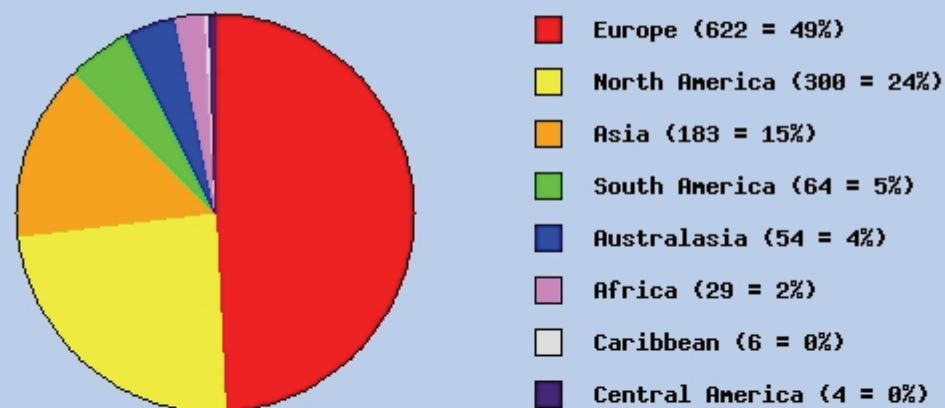
OpenDOAR

Directory of Open Access Repositories

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Proportion of Repository Organisations by Continent - Worldwide

Proportion of Repository Organisations by Continent
Worldwide



OpenDOAR 22-Mar-2010

Total = 1262 organisations

This chart is based on the number of organisations that host repositories in each Continent. Some organisations have two or more repositories - over 20 in some cases - but in this chart, each organisation only counts once..

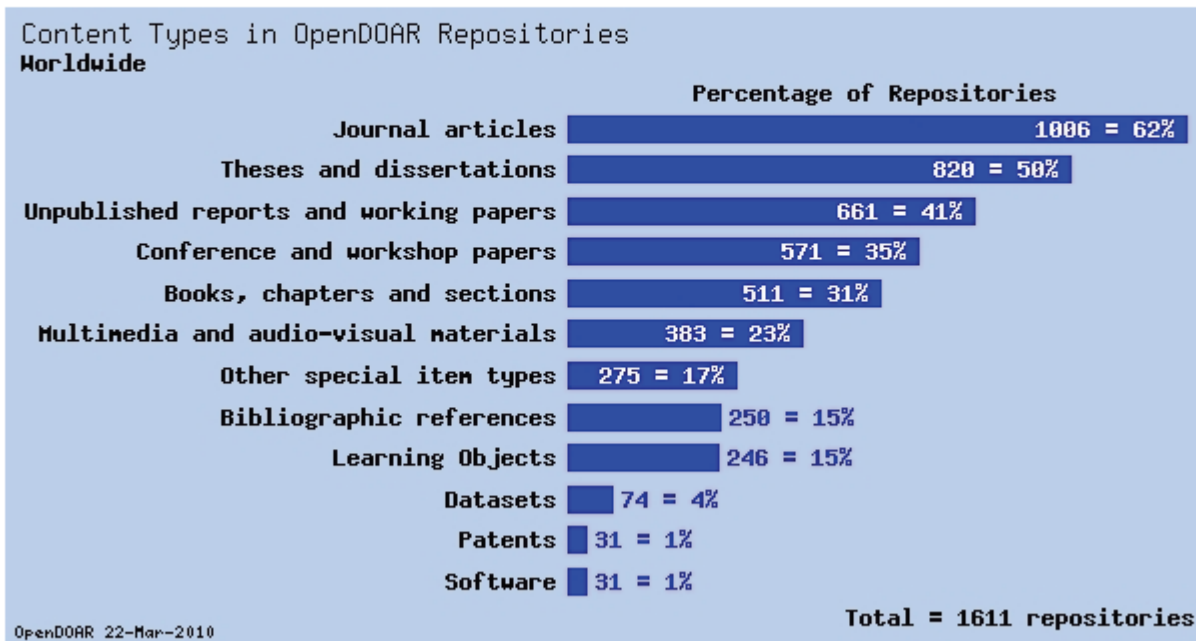
Content types

OpenDOAR

Directory of Open Access Repositories

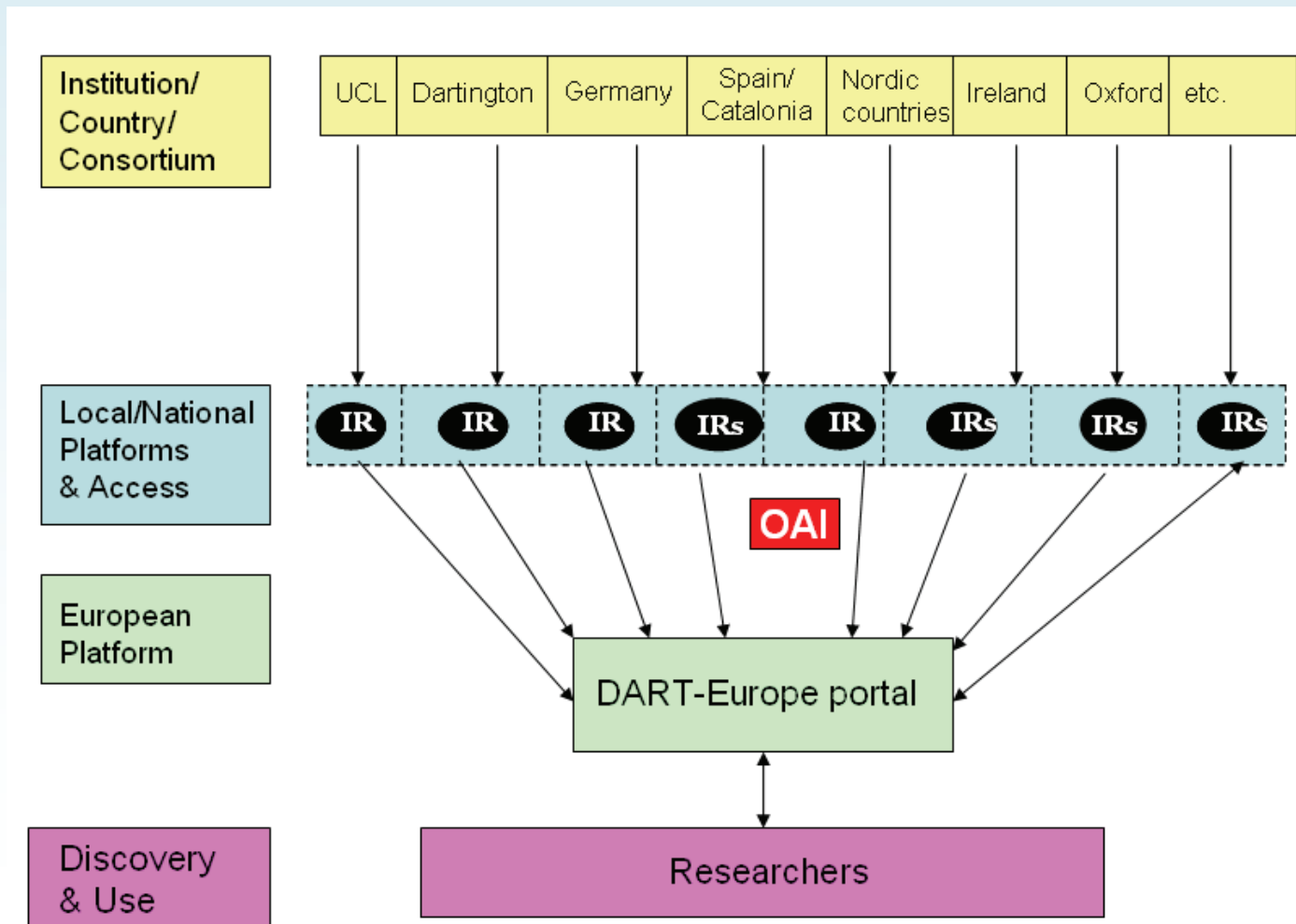
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Content Types in OpenDOAR Repositories - Worldwide



N.b. Most repositories hold several Content Types.

DART-Europe portal



DART-Europe portal

- ❑ At <http://www.dart-europe.eu>
- ❑ 233 University members from across Europe
- ❑ 132,102 full-text theses in Open Access
- ❑ 16 countries represented
- ❑ But not Austria...

Belgium	Netherlands
Estonia	Norway
Finland	Poland
France	Portugal
Germany	Spain
Hungary	Sweden
Ireland	Switzerland
Lithuania	UK

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UCL's Open Access mandate

- ❑ UCL's Academic Board, 27 May 2009, agreed two principles to underpin UCL's publication activity and to support its scholarly mission:
 - ❑ That, copyright permissions allowing, a copy of all research outputs should be deposited in the UCL [repository](#) in Open Access
 - ❑ That individual UCL academic researchers should be directly responsible for providing and maintaining details of their publications in relevant UCL databases so as to support both Open Access and the requirement for UCL to keep an accurate record of its research outputs

History of the development

- ☐ UCL Library Services had promoted Open Access for several years
- ☐ Careful advocacy at a strategic level
- ☐ Conversations at Faculty and Departmental level with individual academics
- ☐ A voluntary deposit policy into UCL E-Prints

Some statistics

□ 305,462 downloads from UCL E-Prints in 2009

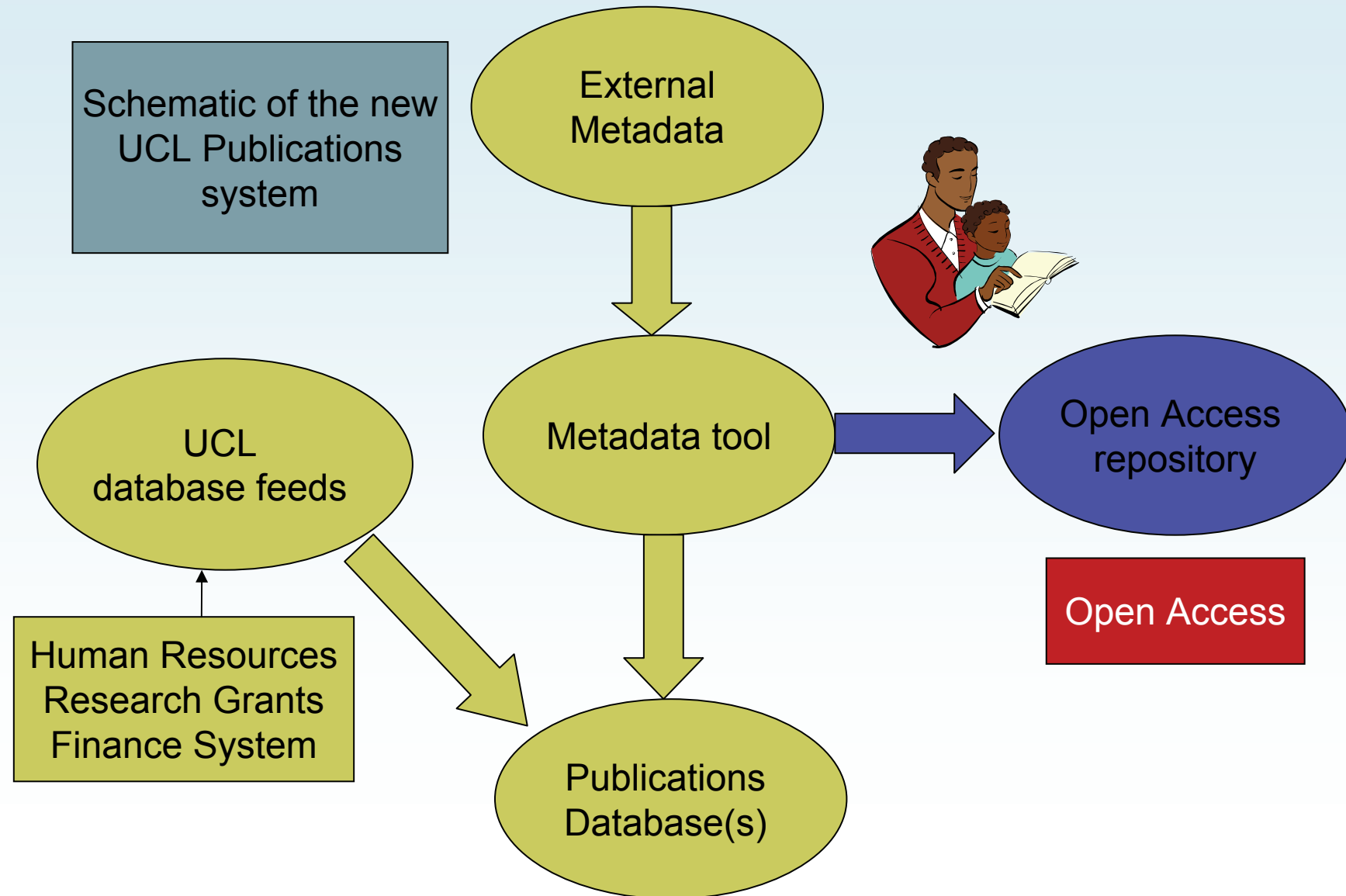
Country	Downloads
UK	83,838
USA	48,258
Korea, Republic of	16,732
France	13,376
India	11,254
China	9,726
Australia	7,734
Germany	7,178
Canada	6,573
Japan	5,182

New Publications Policy

- ☐ Open Access mandate now embedded into new CRIS
 - ☐ Current Research Information System
- ☐ Metadata tool has automatic link to UCL E-Prints for deposit of full-text
- ☐ Publications database will be pre-populated with metadata from commercial systems
- ☐ Impact factors/downloads/citation counts will be embedded in the Publications record
- ☐ Repository will hold URL of local copy and any commercially-available copy

New Publications Policy

- ☐ Researcher profile will be available for each UCL researcher to maintain
- ☐ Guidance will be issued on how and when in the work flow to deposit full-text
- ☐ UCL Publications Board oversees development of Open Access mandate at strategic level
- ☐ Work with Communications Office to develop a communications strategy and to re-brand the E-Prints repository
- ☐ CRIS will be used for all internal appraisal activity and for promotions



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EUA

- ❑ European Universities Association endorsed Open Access on 26 March 2008
 - ❑ See <http://www.eua.be/index.php?id=354>
- ❑ Recommendations for University Leadership
 - ❑ The basic approach for achieving this [Open Access] should be the creation of an institutional repository or participation in a shared repository
 - ❑ University institutional policies should require that their researchers deposit (self archive) their scientific publications in their institutional repository upon acceptance for publication
 - ❑ University policies should include copyright in institutional intellectual property rights (IPR) management
 - ❑ University institutional policies should explore also how resources could be found and made available to researchers for author fees to support the emerging “author pays model” of open access

EUA

- ❑ Recommendations for National Rectors' Conferences
 - ❑ All National Rectors' Conferences should work with national research funding agencies and governments in their countries to implement the requirement for self archiving of research publications in institutional repositories and other appropriate open access repositories
 - ❑ National Rectors' Conferences should attach high priority to raising the awareness of university leadership to the importance of open access policies in terms of enhanced visibility, access and impact of their research results
- ❑ Recommendations for the European University Association
 - ❑ EUA should continue to contribute actively to the policy dialogue on Open Access at the European levels with a view to a self archiving mandate for all research results arising from EU research programme/project funding

LERU – League of European Research Universities



☐ LERU

- ☐ Met in UCL in December 2009
- ☐ Made a series of Recommendations

1. LERU recommends that every LERU University establish an institutional Open Access repository, or repositories, which are interoperable with a unified search interface across all LERU institutions; with an aspiration that such contents can also be linked to public search engines
2. LERU also recommends that institutions mandate deposit, copyright permissions allowing, of the research outputs produced in their institution into the institutional repository
3. LERU institutions should also investigate issues around digital curation and permanent access to the knowledge which has been deposited in LERU repositories, working with other partners as appropriate
4. LERU should encourage its member Universities to investigate and invest funds in the payment of author publication charges to make the resulting publications available in Open Access in commercial journals and publications

LERU

5. LERU should recommend that institutional copyright policies are permissive of new advances in Open Scholarship and Open Knowledge and can provide guidance on best practices in this area
6. LERU should develop a lobbying role in the fields of Open Scholarship and Open Knowledge to engage with all stakeholders – notably academics, libraries, scholarly publishers and research funders – to investigate these issues further. LERU institutions in particular will continue to engage with their academics and researchers in these important topics
7. At an institutional level, LERU member organisations will ensure that their work in these areas is aligned with emerging international best practice
8. LERU institutions should also look to investigate new forms of outputs to further the responsibility of European Universities to make their scholarship and knowledge available as widely as possible. In particular, the strong interest in primary data and the semantic web offer LERU institutions possibilities for new types of activities and publications. LERU should investigate these areas and make recommendations on next steps for LERU Universities
9. Peer review is compatible with Open Scholarship. LERU should continue the investigation of new models for peer review in the field of Open Peer Review

LERU

10. LERU should produce guidance on best practice for Current Research Information Systems (CRIS) to ensure that the principles of Open Scholarship and Open Knowledge are embedded in these developments

☐ Next Steps

- ☐ Working Group to produce a Road Map for ALL European Universities on how to embrace Open Access
- ☐ Interim Report in May 2010 to LERU Rectors

☐ Perceived Benefits

- ☐ The Mission of LERU Universities can be enhanced by their public endorsement of the new agendas of Open Scholarship and Open Knowledge, which can help to make their learning more visible to Society at large
- ☐ The resulting network of institutional repositories, and publication in Open Access Journals, can help to demonstrate the vitality of European research-led Universities

Conclusions

- ☐ Europe well advanced in OA
- ☐ Tools are being developed
- ☐ There are institutional mandates
- ☐ University Associations beginning to act
- ☐ OA becoming embedded in the landscape

